

Accepted Manuscript

Data and methods to characterize the role of sex work and to inform sex work programmes in generalized HIV epidemics: evidence to challenge assumptions

Sharmistha Mishra, Marie-Claude Boily, Sheree Schwartz, Chris Beyrer, James F. Blanchard, Stephen Moses, Delivette Castor, Nancy Phaswana-Mafuya, Peter Vickerman, Fatou Drame, Michel Alary, Stefan D. Baral

PII: S1047-2797(16)30163-6

DOI: [10.1016/j.annepidem.2016.06.004](https://doi.org/10.1016/j.annepidem.2016.06.004)

Reference: AEP 7964

To appear in: *Annals of Epidemiology*

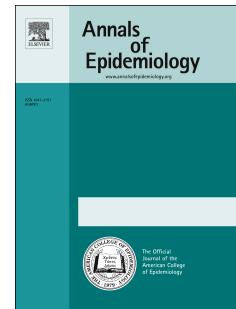
Received Date: 16 November 2015

Revised Date: 6 May 2016

Accepted Date: 3 June 2016

Please cite this article as: Mishra S, Boily MC, Schwartz S, Beyrer C, Blanchard JF, Moses S, Castor D, Phaswana-Mafuya N, Vickerman P, Drame F, Alary M, Baral SD, Data and methods to characterize the role of sex work and to inform sex work programmes in generalized HIV epidemics: evidence to challenge assumptions, *Annals of Epidemiology* (2016), doi: 10.1016/j.annepidem.2016.06.004.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.



1 **Data and methods to characterize the role of sex work and to inform sex work programmes**
 2 **in generalized HIV epidemics: evidence to challenge assumptions**

3
 4 Sharmistha Mishra^{1,2}, Marie-Claude Boily^{2*}, Sheree Schwartz^{3*}, Chris Beyrer³, James F.
 5 Blanchard^{4*}, Stephen Moses^{4*}, Delivette Castor⁵, Nancy Phaswana-Mafuya⁶, Peter Vickerman⁷,
 6 Fatou Drame⁸, Michel Alary⁹, Stefan D. Baral³

7 **Institutions**

8 1 – Division of Infectious Diseases, Department of Medicine, St. Michael's Hospital, Li Ka
 9 Shing Knowledge Institute, University of Toronto, Toronto, Ontario

10 2– Department of Infectious Disease Epidemiology, Imperial College, London, United Kingdom

11 3 – Center for Public Health and Human Rights, Department of Epidemiology, Johns Hopkins
 12 School of Public Health, Baltimore, MD, USA

13 4 – Centre for Global Public Health, Department of Community Health Sciences, University of
 14 Manitoba, Winnipeg, Manitoba, Canada

15 5 - United States Agency for International Development, Washington, DC, USA

16 6 – HIV/AIDS, STI, and Tuberculosis Department, Human Sciences Research Council, Port
 17 Elizabeth, South Africa

18 7 - Bristol University, Bristol, United Kingdom

19 8 - Université Gaston-Berger, St. Louis, Senegal

20 9 – Centre de recherche du CHU de Québec – Université Laval, Québec, Québec, Canada

21 *Authors contributed equally

22 **Email Addresses**

23 Sharmistha Mishra sharmistha.mishra@utoronto.ca

24 Marie Claude Boily - marieclaire.boily@gmail.com

25 Sheree Schwartz (sschwartz@jhsph.edu)

26 Chris Beyrer (cbeyrer@jhu.edu)

27 James F Blanchard James.Blanchard@med.umanitoba.ca

28 Stephen Moses Stephen.Moses@med.umanitoba.ca

29 Delivette Castor dcastor@usaid.gov

30 Nancy Phaswana-Mafuya nphaswanamafuya@hsrc.ac.za

31 Peter Vickerman Peter.Vickerman@bristol.ac.uk

32 Fatou Maria DRAME amadrameh@yahoo.fr

33 Michel Alary - malary@uresp.ulaval.ca

34 Stefan Baral – sbaral@jhu.edu

35 Corresponding Author: Stefan D. Baral, Key Populations Programs, Center for Public Health and Human
 36 Rights, Department of Epidemiology, E7146, 615 N. Wolfe Street, Johns Hopkins School of Public Health,
 37 Baltimore, MD, 21205, USA

38 Word Count: 4,154 words, Tables 5, Box 1

39 **Acknowledgements**

40 We thank Branwen Owen (Imperial College London) for providing data. We thank members of
 41 the KEYPOP partnership hosted at Imperial College for informing the development of this
 42 manuscript. SMi is supported by a Canadian Institutes of Health Research and Ontario HIV
 43 Treatment Network New Investigator Award, and the research is supported by a Canadian
 44 Institutes of Health Research (FDN 143266) CB and SB receive support from the Johns Hopkins
 45 University Center for AIDS Research, an NIH funded program (P30AI094189), which is

1 supported by the following NIH Co-Funding and Participating Institutes and Centers: NIAID,
2 NCI, NICHD, NHLBI, NIDA, NIMH, NIA, FIC, NIGMS, NIDDK, and OAR. The content is
3 solely the responsibility of the authors and does not necessarily represent the official views of the
4 NIH.

5 **Financial Disclosure**

6 No funding was received for this work and the authors have no financial disclosures to declare.

7 **Author contributions**

8 SB conceived of the paper and provided leadership throughout the review and writing. SM and
9 SB wrote the manuscript. SM conducted the data syntheses. SB, SM, MCB, SS, JFB, and SM
10 developed and designed the paper and provided critical intellectual input, ideas, edits, data
11 synthesis, and writing. All authors contributed significantly to the ideas expressed in the
12 manuscript and edited the manuscript.

1 **Summary**

2 In the context of generalized HIV epidemics, there has been limited recent investment in HIV
3 surveillance and prevention programming for key populations including female sex workers
4 (FSWs). Often implicit in the decision to limit investment in these epidemic settings are
5 assumptions including that commercial sex is not significant to the sustained transmission of
6 HIV, and HIV interventions designed to reach ‘all segments of society’ will reach FSWs and
7 clients. Emerging empiric and model-based evidence is challenging these assumptions. This
8 paper highlights the frameworks and estimates used to characterize the role of sex work in HIV
9 epidemics as well as the relevant empiric data landscape on sex work in generalized HIV
10 epidemics and their strengths and limitations. Traditional approaches to estimate the
11 contribution of sex work to HIV epidemics do not capture the potential for upstream and
12 downstream sexual and vertical HIV transmission. Emerging approaches such as the
13 transmission population attributable fraction from dynamic mathematical models can address this
14 gap. To move forward, the HIV scientific community must begin by replacing assumptions about
15 the epidemiology of generalized HIV epidemics with data and more appropriate methods of
16 estimating the contribution of unprotected sex in the context of sex work.

17
18
19
20
21
22
23
24
25
26
27
28
29

1 **Characterizing the Role of Sex Work in Generalized HIV Epidemics**

2 Early in the HIV pandemic, HIV surveillance and prevention focused on high risk, and high
3 burden populations including female sex workers (FSWs), men who have sex with men (MSM)
4 and people who inject drugs (PWID) [1-3]. However, for the last 15 years, the total and relative
5 investments in HIV surveillance and programmatic efforts for FSWs declined markedly in many
6 countries with generalized HIV epidemics, especially across Sub-Saharan Africa (SSA)[4].
7 National expenditure data on HIV suggest that in 17 SSA countries reporting HIV expenditure
8 data after 2007, a median of 0.35% (range, 0.0 to 3.3%) of HIV prevention budgets were
9 allocated to HIV prevention for FSWs and clients [5], and 17 of 22 countries estimated that
10 between 0.01 to 3.1% of HIV funding including HIV care expenditure benefits FSWs and clients
11 [5]. There are also few biological and behavioral data on Key Populations (KPs) in generalized
12 HIV epidemics due to challenges in their representative sampling via traditional surveillance
13 systems [6]. This has limited our understanding of KPs, and of their vulnerabilities to HIV, in
14 most generalized HIV epidemics – and limits comprehensive HIV prevention, treatment, and
15 care responses.[7, 8] While we focus here on female sex work, these issues have relevance for
16 other KP in generalized HIV epidemics.

17 The role of sex work in HIV epidemics refers to the extent to which unprotected sex (condomless
18 and in the absence of antiretroviral-based pre-exposure prophylaxis and treatment) in the context
19 of sex work leads to new HIV infections in a given region. Region-specific knowledge on the
20 role of sex work can inform the design of HIV prevention programs to ensure that they meet the
21 needs of those most vulnerable for HIV acquisition and transmission; achieve maximal and
22 sustained HIV prevention benefits at population-levels; optimize resource allocation; and help
23 reduce inequities in the HIV care continuum (Box 1). Appropriately characterizing the role of
24 sex work in Benin [9], for example, resulted in increases in protective behaviors and reductions
25 in HIV incidence and prevalence among FSWs [10], with important impacts on the wider
26 population[11-13].

27 Optimizing the preventive potential of different combinations of behavioural, biomedical, and
28 structural interventions raises questions about their mix, delivery, and models of implementation
29 to optimize health benefits at affordable costs [14]. Chief among these questions is whether, and
30 to what extent, focused HIV programmes are needed for FSWs and clients. Yet often implicit
31 within generalized epidemic responses are assumptions that (1) sex work is no longer significant
32 to the sustained transmission of HIV, and (2) HIV interventions designed to reach ‘all segments
33 of society’ will reach FSWs and clients [15]. Emerging empiric and model-based evidence is
34 challenging these assumptions. This paper draws on systematic reviews and highlights the
35 current frameworks and estimates of the role of sex work in HIV epidemics; and the relevant
36 empiric data landscape and its strengths and limitations.

37

38 **Frameworks for Characterizing the Role of Sex Work in HIV Epidemics**

39 Five frameworks have been used to estimate the contribution of sex work to overall HIV
40 transmission (Table 1). Traditional approaches include the numerical proxy [15], the UNAIDS
41 HIV Modes of Transmission (MOT) models [16], and the classic population attributable fraction
42 (PAF). [17-22]. Emerging approaches include the “transmission” PAF (T-PAF) [13, 23-26] and a
43 re-definition of epidemic types on the basis of their behavioral epidemic drivers [20, 27-29]. The

1 term *behavioral epidemic driver* refers to risk factors (such as unprotected sex in the context of
 2 sex work) – such that a failure to address the risk factor would undermine all other efforts at HIV
 3 epidemic control and thus, the risk factor can sustain HIV epidemics. Estimates of the
 4 contribution of sex work to overall transmission vary greatly by methods and the time-horizon of
 5 measurement. The advantages and disadvantages of each of these frameworks are outlined in
 6 Table 1. Estimates are summarized in Table 2.

7 *The numerical proxy approach to defining HIV epidemics and inferring role of sex work*

8 By the late 1990's, tailored HIV surveillance and prevention policies were grounded in the user
 9 friendly numerical proxy approach -defining HIV epidemics as concentrated or generalized
 10 based on HIV prevalence thresholds across risk-groups[15]. This framework drew on the theory
 11 of epidemic phase for the role of unprotected sex work in sustaining HIV epidemics [30-36]. It
 12 suggested that in the early phase of an HIV epidemic, infections entered a population through
 13 KP, like FSW, and spread via 'bridge populations,' such as clients, to wider populations. The
 14 size of these epidemics depended on a maintenance (or general population) network, and the
 15 extent to which the two networks overlapped [31]. Epidemics were 'concentrated' in the early
 16 phase, became 'generalized' and were sustained by maintenance networks[31]. The numerical
 17 proxy emerged when the epidemic phase constructs of 'concentrated' and 'generalized' HIV
 18 epidemics were assigned HIV prevalence thresholds [15]. Epidemics were classified based on
 19 whether HIV prevalence exceeded 1% in the general population.) [15, 37, 38]. If overall HIV
 20 prevalence remained below 1% while HIV burden exceeded 5% in a KP the epidemic was
 21 deemed concentrated and focused HIV strategies recommended [15, 37, 38]. If HIV prevalence
 22 surpassed 1% in the general population, the epidemic was generalized and HIV efforts were to
 23 reach all segments of society [15, 37, 38]. The numerical proxy approach was originally
 24 developed to guide HIV surveillance, [15] however, in practice often guided resource allocation
 25 and programmatic design [37, 38].

26 Overall HIV prevalence in 39 SSA countries exceeds 1%, with considerable variability as
 27 defined by the numerical proxy within countries [39]. A limitation of the approach is that
 28 prevalence thresholds have not been validated for inferring the contribution of specific behaviors
 29 among KPs including unprotected sex work to overall HIV transmission. [20]. Dynamic
 30 mathematical modelling studies of SSA regions with >1% HIV prevalence such as in Cotonou,
 31 Benin and across Kenya, suggest that unprotected sex work can be an important risk for onward
 32 HIV transmission [9, 13, 24, 29], and challenge the assumption that sex work is not important in
 33 these epidemics.

34 *Short-term estimates of the distribution of new HIV infections and classic PAF of sex work on*
 35 *prevalent/incident HIV infections*

36 Allocation of resources is also informed by estimates of the relative burden of new annual HIV
 37 infections acquired in different risk such as those obtained from the UNAIDS HIV MOT model
 38 [16, 40]), or the classic PAF on HIV prevalence or incidence [17-19, 41]. The current MOT
 39 model uses data on HIV prevalence across risk-groups, population size estimates of different risk
 40 groups including KPs and clients, and sexual behaviors such as number of sexual partners [42].
 41 The classic PAF is estimated using point prevalence or within-population incidence data, as well
 42 as estimates of the size of different risk groups.

1 Half of the 39 SSA countries with generalized epidemics have estimated the fraction of annual
2 new HIV infections acquired by FSWs/clients using the MOT [40, 43], while the classical PAF
3 of sex work on prevalent HIV infections in males and females has been estimated in 25 and 27
4 countries respectively [22, 44]. These measures often suggest a small role for sex work in current
5 generalized HIV epidemics (Table 2), but have been shown to underestimate the medium- to
6 long-term contribution of sex work [29, 44]. Both approaches ignore a central feature of HIV
7 spread: the propagation of HIV infections via onward HIV transmission (Table 1). Onward
8 transmission refers to the chain of transmission from a single (direct) transmission during
9 unprotected sex work that leads to another infection between the infected individual and their
10 subsequent partners, which in turn, leads to another infection between the newly infected partner
11 to their other partners. The MOT and classic PAF capture the single, direct transmission, but not
12 the chain of transmission.

13 *Emerging approaches: the “transmission” PAF and re-defining HIV epidemic typology by*
14 *behavioral epidemic drivers*

15 The implications of underestimating the contribution of sex work to HIV spread are important
16 because they can lead to misallocation of resources. From a program perspective, we may
17 erroneously assume that because few infections arise among FSWs/clients, there is little to gain
18 from targeted efforts. Two emerging frameworks may address the limitations of the traditional
19 approaches by accounting for behaviors which potentiate HIV epidemics.

20 The ‘transmission PAF’ (T-PAF) of sex work accounts for transmission chains over time – the
21 extent to which the cumulative number of new HIV infections are due – directly or indirectly - to
22 unprotected sex work [9, 23, 25, 26, 29, 44]. The T-PAF is particularly useful because it tells us
23 about the potential fraction of infections that may be prevented if we can add to existing
24 interventions and protect all FSWs and clients from HIV during sex work. It provides
25 information on the potential impact on the total or wider population of a ‘perfect’ intervention
26 for FSWs and clients, without changes in the sexual network or displacement of sex acts. As a
27 result, the T-PAF provides a clearer picture of the role of unprotected sex work as a driver of
28 HIV epidemics with implications for the design, delivery, and scale of HIV prevention. The T-
29 PAF is estimated from dynamic mathematical models over different periods of time. Dynamic
30 models are used to predict cumulative infections with and without transmission within
31 commercial sex [9, 25, 26, 29]. Like the numerical proxy, MOT, and classic PAF, the T-PAF
32 depends on inputs from the best available empiric data.. Other counterfactuals to describe the
33 influence of sex work itself may include displacement of sex acts or changes in the sexual
34 network but are distinguished from the T-PAF.

35 To date, the T-PAF over different time-periods has been estimated for three SSA countries;
36 Benin, Burkina Faso, and Kenya [13, 24, 29, 45]. Estimates of the long term transmission PAF in
37 each suggest that even in the presence of sustained existing FSW interventions and medium to
38 high-levels of condom use, 13.5-37.6% of all new HIV infections over the next 20 years could be
39 due directly and indirectly to sex work [13, 44, 45]. Without FSW interventions, this figure of
40 new infections attributable to sex work is estimated to be 58.3-88.9% over the same time-period
41 [13, 45, 46]. Direct comparisons of the MOT estimates and classic PAF with the transmission
42 PAF where all are derived from simulated HIV epidemics via dynamic mathematical models
43 have shown that the MOT and classic PAF are similar to the transmission PAF of sex work in the
44 short-term (1 year) but the former are much smaller in the medium- to long-term [29, 46]. This

1 discrepancy occurs because the transmission-PAF captures onward transmission. Onward
2 transmission is particularly important with behavioral epidemic drivers such as unprotected sex
3 work associated with high frequency of sexual partnerships and mixing between risk groups [47].
4 A systematic review of dynamic mathematical modelling studies summarized the potential
5 impact of sex work interventions on onward transmission within the wider community in SSA
6 (Table 3)[13, 24, 48, 49] – i.e. HIV in the overall population and beyond FSWs and their clients.
7 Most models were calibrated to observed HIV prevalence in FSWs [13, 24, 49-52]. They suggest
8 that across a range of interventions, focused FSW programming could avert up to 85% of new
9 HIV infections in the total population over 15 years[9], and reduce HIV incidence by up to 35%
10 over 10 years in the general population [53]. Reaching clients could also provide large
11 incremental benefits [54].

12 Finally, there has been growing momentum to re-define HIV epidemics on the basis of their
13 underlying transmission dynamics – and the role of FSW/clients in the emergence and
14 persistence of HIV spread [19, 20, 27-29]. In this new classification system, HIV epidemics are
15 classified as ‘generalized’ if they are entirely driven by non-KP sexual networks and ‘mixed’ if
16 epidemic control requires preventing transmission within KP and non-KP networks (preventing
17 infections among KP are insufficient to control the epidemic, and likewise if only preventing
18 infections among non-KP networks). A growing number of countries are adopting this new
19 nomenclature – particularly the use of the term ‘mixed’ epidemic [19, 55]. In practice, this re-
20 definition of epidemic types remains dependent on testing via dynamic mathematical models
21 [29].

22

23 **Existing Data Available for the Transmission PAF, Re-Defining HIV Epidemics, and** 24 **Informing the Design of Sex Work Programs across SSA**

25 Dynamic mathematical models can be used to estimate the T-PAF and to help classify epidemics
26 based on behavioral drivers, but require the best available data on sex work, clear definitions of
27 who is a sex worker, HIV burdens across risk-groups including clients of FSWs, population size
28 of FSWs and clients, mediators of individual-level HIV acquisition and HIV transmission risks,
29 sexual partnerships and networks, and HIV prevention and treatment coverage. The data needs
30 overlap with the programmatic needs to inform the content and scale of sex work programs
31 (Table 4). Recent reviews shed light on the current FSW/client data landscape, how data are
32 being collected, where, and limitations of the data (Table 5). Stigma and criminalization can pose
33 barriers to data collection; working with FSW communities in the design and implementation of
34 surveillance and research tools can help ensure data collection is rights-based, appropriate, and
35 reflects the diversity of the population.

36 **Epidemiologically and Programmatically Meaningful Definitions of Sex Work**

37 Clear definitions of sex work are needed to (a) ensure FSW/client interventions are reaching
38 them and to (b) design intervention packages that meet their needs. [56-60] There is no current
39 consensus on the surveillance definition of sex work. Some define sex work as any exchange of
40 sex for money, favors, or goods [61]. Others limit definitions to only the exchange of money,
41 large client volumes, self-identification, or reference to sexual partners as FSW/client, when
42 money was negotiated [56, 62-64]. Terms such as informal, part-time, clandestine, or
43 transactional sex permeate the discourse yet their distinction from formal, high-volume sex work,

1 remains unclear. [59, 62, 63, 65]. It is important to distinguish sex work within this spectrum
2 even if individuals engage in more than one type or transition between types [66].

3 Formal sex work with large client volumes in highly-connected and high-risk sexual networks
4 has different implications for HIV spread than casual, or long-term, concurrent partnerships that
5 may be financially motivated [67]. Without clear definitions of sex work, T-PAF analyses to
6 estimate the contribution of sex work to HIV epidemics may use conflated FSW/client size
7 estimations, risk-behaviors, and HIV burdens, thereby under or over-estimating the role of sex
8 work – and limit all approaches, including dynamic modeling. Client volume and percent
9 income from sex work has been associated with HIV in a number of generalized HIV epidemics
10 ranging from Nigeria to Swaziland[68, 69]. Thus, we suggest a strategy that defines sex work
11 based on client numbers and on a pragmatic basis for focused HIV prevention efforts.

12 **Population Size Estimation of FSWs and Their Clients**

13 Size estimates and the duration of sex work are central to understanding the contribution of sex
14 work to HIV epidemics. Every framework (Table 1) needs this information - as direct inputs into
15 mathematical models or as the bases for representative sampling for bio-behavioral surveys. Size
16 estimates also are critical for programs to provide services at scale and to monitor coverage, and
17 are increasingly being used by funders to support allocation of HIV expenditures[70, 71].

18 FSW/client population size estimation are not routinely collected as part of traditional HIV
19 surveillance. However, guidelines on different approaches exist, and several countries have
20 started to enumerate FSWs [71, 72]. Size estimation methods include network-size analyses of
21 respondent driven sampling surveys, and multi-staged key informant and geographic mapping
22 and enumeration of KP hotspots [71, 73, 74]. Geographic mapping with enumeration has the
23 added benefit of providing program “catchment areas” for better intervention delivery [75, 76].
24 Size estimations conducted in 29 SSA countries to date suggest that about one to three percent of
25 adult women are engaged in active formal sex work [44], whereas estimates of client population
26 size range widely from a median of 3% using direct surveys methods to 7-30% using indirect
27 methods [46]. The indirect method of client population size estimation was first described by
28 Cote *et al.* to calculate a plausible match from the adult male population to the number and
29 frequency of clients reported by FSWs, and the frequency of repeat FSW visits reported by
30 clients [17]. These indirect SSA size estimates are similar to those reported in concentrated HIV
31 epidemics characteristic of India [20]. The indirect method requires FSW-specific and client-
32 specific behavioral surveys and FSW size estimations; absence of client surveys has been the
33 limiting factor for estimating client population size. While the value of size estimation cannot be
34 overstated, it is important to note that a number of biases limit each size estimation method for
35 KPs [70, 72], and the indirect method for client size estimation depends on several data from
36 FSW and client-specific surveys. Where possible, triangulating estimates from different methods
37 may be helpful[74].

38 Size estimations are often conducted once, and rarely repeated using the same approach. Thus,
39 the stability or temporal dynamics of FSW/client size (relative to the general population) remains
40 unknown. Rates of entry and exit from engaging in sex work (“turn over”) and thus, duration in
41 sex work, may be important when estimating downstream HIV transmission from unprotected
42 sex work [77] during the career-span of FSW or after retiring from sex work [78]. Sex work
43 population dynamics and how it may influence the T-PAF remain unexplored.

1 **Representative Estimates of Relative HIV Burden**

2 Representative estimates of HIV prevalence, incidence, and , potentially, superinfection data
3 among FSW and clients can be used to estimate the role of sex work in HIV epidemics (Table
4 1)[79]. Obtaining unbiased empirical estimates of HIV incidence is challenging so most dynamic
5 mathematical models will use HIV prevalence data to support model calibration. Optimal
6 estimates can help allocate for HIV care, further risk-stratify FSW and clients, and monitor
7 program impacts..

8 FSWs/client HIV prevalence data in generalized HIV epidemics comes from household surveys
9 such as the Demographic Health Surveys, and from FSW/client- bio-behavioral surveys. General
10 population surveys are limited by willingness to report sex work.[80]. Household surveys also
11 tend to under-sample mobile or migrant populations, including truckers, miners, fisher-folk and
12 refugees, who may be more likely to be clients or SWs.[81, 82]. While some of these biases are
13 addressed with direct surveys of FSWs and clients, participants in these studies may not be
14 representative of the underlying FSW/client population. For example, two-thirds of FSW HIV
15 data in generalized epidemics of SSA come from convenience sampling of sexually transmitted
16 infection (STI) clinics or FSW venues [44]. Despite these limitations, the available data suggest
17 a disproportionate burden of HIV among FSW in generalized epidemics (Table 5) – and
18 variability in the magnitude of inequity is not fully explained by differences in study design.
19 Three recent systematic reviews of HIV among FSW in the generalized epidemics of SSA
20 suggest a 9 to 14-fold greater HIV burden compared to all women of reproductive age [22, 46,
21 83]. Meanwhile, client-specific studies across six SSA countries suggest a 4-fold greater odds of
22 HIV prevalence among self-reported male clients compared to non-client males [44] [18, 19, 84,
23 85].

24 Thus, the next phase in HIV surveillance in generalized HIV epidemics should include FSW and
25 client HIV prevalence estimates. Where possible, HIV prevalence estimates should be obtained
26 via representative sampling [86] using pre-sampling size estimations or venue assessments to
27 inform sampling frames [72]. Where size estimations are not possible, using respondent-driven
28 sampling as a chain-referral strategy may support the calculation of less biased estimates of HIV
29 burdens [87].

30

31 **HIV Acquisition and Transmission Risks and Protective Behaviors Among FSW/clients**

32 Dynamic models of HIV epidemics that include sex work need to parameterize the heterogeneity
33 in HIV acquisition and transmission risk associated with sex work in order to capture the
34 ‘mechanistic’ processes that underpin transmission events. There is an extensive body of
35 literature that outlines the proximal and distal determinants of HIV acquisition among FSWs and
36 clients [57, 88, 89]. Proximal determinants include differential patterns of condom use by partner
37 type (regular, new, and non-paying), numbers of partners, frequency of sexual acts by partners
38 and type of sex (vaginal vs. anal), concomitant STIs, , anal and vaginal douching and use of
39 drying agents and spermicides[90-92]. Proximal determinants are important for estimating the
40 transmission PAF and re-defining HIV epidemics on the basis of behavioral drivers; whilst
41 proximal and distal factors such as laws, policies, or health-system factors are each critical to the
42 design of HIV programs.

1 Currently, the only FSW risk-factor data routinely requested and reported in the UNAIDS
2 country reports is condom use at last sex [93]. The majority of studies on HIV factors among
3 FSWs have focused on assessing individual, proximal risks. Yet distal factors are increasingly
4 recognized as predictors of HIV acquisition and for the coverage of services for FSWs [88, 94,
5 95]. In Swaziland, there were significant relationships between increased measures of social
6 capital and condom use and uptake of HIV testing in FSWs [96]. Recent modelling of a potential
7 causal pathway from history of sexual violence and current condom-use among FSWs suggests
8 important population-level effects of sexual violence mediated through individual-level sexual
9 practices [97].

10 Taken together, these findings suggest the utility of comprehensive risk assessments – and causal
11 pathways of HIV risk and/or protective behaviors - when characterizing HIV- risks among
12 FSW/clients. An understanding of proximal determinants is needed to reproduce HIV epidemics
13 with dynamic models, and thus, estimate the T-PAF. Estimating the T-PAF of distal
14 determinants requires a strong empirical basis for the full causal pathway. However, developing
15 indicators to measure the full scope of structural and social factors and relating each to
16 individual-level proximal determinants is a challenging and emerging area of research in
17 dynamic modeling. Nonetheless, HIV programs are implemented within structural determinants
18 such as human rights violations, criminalization, sexual and physical violence, condom
19 accessibility and perceived and experienced stigma and discrimination [88]. Programs need to
20 address determinants along the full casual pathway to HIV acquisition and transmission during
21 sex work.

22 **Characterizing HIV Transmission Networks of FSWs, Clients, and the Wider Community**

23
24 Key to the transmission PAF is data on how partnerships are formed within sex work and
25 between FSW/clients and individuals not directly engaged in sex work, including those involved
26 in other financially-motivated partnerships, concurrent or serial multiple partnerships, and the
27 ‘lower-risk’ general population. To date, these have only included sexual partnerships – but can
28 (and should) be extended to include other risks.

29
30 For the transmission PAF, we usually infer ‘who has sex with whom’ from available data by
31 fitting these data to observed data on HIV prevalence/incidence across risk groups. Such data are
32 not routinely collected as part of HIV surveillance, but can come from individual surveys of
33 FSWs and clients with questions about the number, type, age, etc. of sexual partners by the type
34 of partnership or sexual network surveys [98]. Phylogenetic analyses using HIV sequence data
35 have been used to infer networks among people who inject drugs and MSM [99-102], and are
36 being explored to infer HIV transmission networks of FSW/clients and the wider
37 community[100, 103]. Deterministic models assume instantaneous partnerships and – with the
38 exception of pair models - do not explicitly account for duration of partnerships, which may be
39 important for casual or long-term partnerships. The type of dynamic mathematical model may
40 influence the T-PAF. Early work on model comparison suggests that deterministic models with
41 assumptions of instantaneous partnerships may overestimate the role of long-term partnerships in
42 transmission compared with network models with explicit partnership duration[104].

43
44 Characterizing sexual networks includes the different contexts within which sex work takes
45 place, such as short-term migration [26], and how networks (or sexual mixing between risk

1 groups) change over time. Intersections and overlaps with networks of people who use drugs,
2 and casual sex networks, are also an emerging area of study [105, 106]. If we do not account for
3 overlaps in transmission networks, we may underestimate the T-PAF of sex work to HIV
4 epidemics. Similarly, vertical HIV transmission among FSWs may be high but remains unknown
5 in SSA. FSWs are less likely to use condoms with non-paying partners – with whom FSWs may
6 choose to have children [107].

8 **Program and Biological Data to Characterize Interventions and Engagement in HIV** 9 **Prevention and Treatment Programs**

10
11 Data on program-related protective factors for HIV acquisition and transmission – such as
12 condom-use, voluntary male circumcision among clients, and HIV viral suppression among
13 FSW/clients on ART are important to include when estimating the T-PAF, and when designing
14 and monitoring HIV programs.

15 The only routinely collected indicators of FSW-program reach include awareness of where to
16 access HIV testing, and receipt of condoms in the last 12 months [93]. These indicators were
17 reported for 20 countries in the 2012 UNAIDS Country Progress Reports. A country-median of
18 54.4% (range, 1.5-89.9%) of FSWs surveyed reported knowledge of where to access HIV testing
19 and receipt of condoms in the last 12 months. The indicators were based on convenience samples
20 (18 from surveys), and only one had pre-sampling enumeration; 28 countries did not report or
21 have data on FSW coverage with denominators. The extent to which existing HIV prevention
22 programs are reaching FSWs and clients and addressing their HIV risk remains highly
23 questionable.

24
25 The remainder of the HIV continuum of care for FSWs (or clients) has not been monitored as
26 part of the UNAIDS country reports. Although there are a few studies of the HIV care cascade
27 among FSWs [108, 109], the data are limited to FSWs who meet study-specific eligibility criteria
28 and agree to participate.. Thus existing HIV care data are likely not representative of the
29 underlying FSW populations [108, 109]. Continuum of care data could help estimate the
30 additional ART needs of FSW[110, 111]. Monitoring of primary and secondary emergence of
31 HIV drug-resistance could be important in populations at highest risk, such as FSWs in
32 generalized HIV epidemics – as has been done with `sentinel` surveillance of drug-resistant
33 gonorrhea in industrialized settings [112]

35 **Conclusions**

36
37 There is growing empiric and model-based evidence for helping decide whether, how much, and
38 how to focus on sex work in generalized HIV epidemics. A small, but growing, body of model-
39 based evidence of the transmission PAF and sex work interventions demonstrate the large
40 potential for HIV prevention and health benefits to the wider community from sex work
41 programs in generalized HIV epidemics. However, gaps remain and limit a comprehensive
42 understanding of the role of sex work. Understanding could be improved by investing in the
43 collection of specific data, by making the best use of these data, and with appropriate methods of
44 estimating the role of sex work in HIV epidemics. To move forward, the HIV scientific
45 community must begin by characterizing the epidemiology of generalized HIV epidemics with

1 data and more appropriate methods of estimating the contribution of the unmet needs of sex
2 workers and their clients.

3

4

5

ACCEPTED MANUSCRIPT

1 **Box 1: Why quantify the role of sex work in HIV epidemics?**

Quantifying the role of sex work in generalized HIV epidemics involves:
<ul style="list-style-type: none"> • Estimating the cumulative fraction of new HIV infections that directly or indirectly originate from unprotected sex in the context of sex work in presence and absence of existing interventions.
<ul style="list-style-type: none"> • Distinguishing the cumulative fraction of new HIV infections that directly or indirectly originate from unprotected sex in the context of sex work versus other financially-motivated transactional partnerships.
Importance of quantifying the role of sex work in generalized HIV epidemics:
<ul style="list-style-type: none"> • To better understand local HIV epidemics and identify the main behavioural sources of HIV transmission fuelling the HIV epidemic (i.e. unprotected behaviours that without additional interventions, could undermine HIV epidemic control).
<ul style="list-style-type: none"> • To help HIV programmes decide when, where, and how to focus on sex work interventions for individual-level prevention and health benefit to those most-vulnerable to HIV acquisition and transmission.
<ul style="list-style-type: none"> • To help HIV programmes decide when, where, and how to focus on sex work interventions for population-level prevention and health benefits in the wider community.
<ul style="list-style-type: none"> • To help policy-makers allocate HIV resources effectively and efficiently.
<ul style="list-style-type: none"> • To reduce inequities in HIV service delivery across risk-groups.

2

3

4

Table 1: Frameworks for characterizing the role of sex work¹ in HIV epidemics, and the implications for HIV programmes.

	Definition	Assumption	Pros	Cons	Implications for designing HIV programmes
Generalized HIV epidemic (Numerical Proxy)	Region where HIV prevalence is currently >1% in the general population (usually measured via ante-natal clinic surveillance) [18]	Sex work is less important than other sexual partnerships to HIV spread	Easy to classify (little data needed)	Does not reflect underlying transmission dynamics and role of key populations in HIV spread	Leads to broad recommendation that HIV prevention is applied to “all segments of society” (no specific focus)
Short-term distribution of newly acquired HIV infections (Modes of Transmission model)	Fraction of new HIV infections acquired across risk-groups (such as FSWs, clients) in one year	No onward transmission chains No overlapping risk-factors	Easy to use with empiric prevalence and size of FSW/client population	Underestimates the transmission PAF in the medium to long-term Cannot disentangle multiple risk-factors (example, sex work and sharing needles) in same subgroup	Could lead us to underestimate the importance of focused HIV programmes for FSWs/clients
Classical PAF	Fraction of prevalent or incident HIV infections that occur in FSWs (or clients) relative to non-FSWs and non-clients	No onward transmission chains	Easy to calculate using empiric HIV prevalence or incidence data and size of FSW/client population	Underestimates the transmission PAF in the medium to long-term Cannot disentangle multiple risk-factors (example, sex work and sharing needles) in same subgroup	Could lead us to underestimate the importance of focused HIV programmes for FSWs/clients
Transmission PAF (T-PAF)	Fraction of cumulative new HIV infections that are due (directly and indirectly) to unprotected sex work	Onward transmission chains We know, or can triangulate or vary, the sexual mixing between risk-groups. Patterns of sexual mixing or size of the sex work population are assumed to remain stable or resemble temporal changes observed in the past only, over the time-frame of the T-PAF estimate.	Accounts for indirect transmission chains; can disentangle multiple risk-factors	Requires dynamic mathematical models to estimate Dependent on the empiric data inputs (including sexual mixing between risk-groups, and sex work definition (thus, population size of FSWs/clients) Can depend on the subgroup-specific data the model is calibrated to [measured HIV prevalence, incidence, HIV sequencing] Will depend on the structure of the model and level of heterogeneity in sexual behaviour	Can be interpreted as the largest potential fraction of new HIV infections that could be averted with ideal interventions that protected all FSWs/clients from HIV.

“Generalized” HIV epidemic based on underlying transmission dynamics	Region where sex work (or other well-defined high-risk behaviours such as injecting drug use, etc ¹) is neither sufficient nor necessary to enable HIV to establish and spread (for R_0 to exceed 1)	Sex work (or other well-defined high-risk behaviours) are not important to sustain HIV spread	Accounts for indirect transmission chains; can disentangle multiple risk-factors	To date, requires dynamic mathematical models to classify Dependent on same data as with the transmission PAF	Local HIV epidemic could be controlled without sex work interventions
“Mixed” HIV epidemic based on underlying transmission dynamics	Requires either commercial sex or other partnerships (for example, casual sex or multiple partnerships) for HIV to establish and persist, such that both commercial and casual sex acts would need to be protected to achieve long-term elimination ($R_0 < 1$).	Sex work (or other well-defined high-risk behaviours) can sustain HIV spread, but preventing transmission within commercial sex alone would not be enough to control the local HIV epidemic	Accounts for indirect transmission chains; can disentangle multiple risk-factors	To date, requires dynamic mathematical models to classify Dependent on same data as with the transmission PAF Does not specify the contribution of sex work (or other well-defined risk-groups) versus other partnerships to overall HIV spread	Local HIV epidemic control requires interventions focused on sex work and on other risk-behaviors in the general population
“Concentrated” HIV epidemic based on underlying transmission dynamics	Requires that commercial sex exist for HIV to establish and persist in the population, (R_0 is greater than 1 in the presence of commercial sex, and < 1 in the absence of commercial sex)	Sex work (or other well-defined high-risk behaviours) can sustain HIV spread	Accounts for indirect transmission chains; can disentangle multiple risk-factors	To date, requires dynamic mathematical models to classify Dependent on same data as with the transmission PAF	Local HIV epidemic could be controlled with sex work interventions

R_0 (basic reproductive ratio: the average number of new infections due to one infectious case in an otherwise susceptible population)

¹The focus of this paper is on sex work; but behaviours could include those relevant within other key populations (for example, injecting drug use)

Table 2. Estimates of the contribution of sex work to generalized HIV epidemics in SSA.

System	Approach	Number of generalized HIV epidemics in SSA ² with recent data, of 39 countries (years)	Estimate	Median % (range)	Concerns/Issues	Sources
Routine surveillance	HIV Modes of Transmission Model	18	% of new HIV infections acquired by FSWs and clients, over 1 year	FSWs: 2.0 (0.1,13.7) Clients: 7.0 (0.46,25.6)	Underestimates the transmission PAF in the medium to long-term[29]	UNAIDS Country Reports 2012; Systematic Reviews[40, 43]
Intermittent Surveillance and Research	Based on the relative risk of HIV compared to non-client males	25	Classic PAF of sex work on prevalent HIV infections, males	2.0 (0.0,88.0)	Underestimates the transmission PAF in the medium to long-term	Systematic reviews[44]
	Based on the relative risk of HIV compared to general population females	27	Classic PAF of sex work on prevalent HIV infections, females	6.5 (0.4,71.2)	Underestimates the transmission PAF in the medium to long-term	Systematic Reviews[21, 22]
	Dynamic mathematical models	3	Transmission PAF over 20 years	58.3-88.9 (in the absence of FSW interventions)	Data requirements (see Table 5); Need dynamic mathematical models calibrated to FSW/client HIV prevalence/incidence trends	Systematic reviews[44]

PAF (population attributable fraction)

Table 3. Potential impact of FSW/client interventions on overall HIV transmission in Sub-Saharan Africa [48].

Study	HIV prevalence at start of intervention in the model		Sex work intervention (efficacy, coverage, risk-group)	Potential impact in the wider community				
	Overall	FSWs		Outcome ¹			Time-horizon	
				HIV incidence*	HIV prevalence*	# of HIV infections averted	PF	Years
Botswana								
Nagelkerke [113]	30%	N/A	Condom-use (100%, 75%, FSW)		27% ^{↓2}			30
Nagelkerke [113]	30%	N/A	Anti-retroviral treatment (100%, 50%, FSW)		13% ^{↓2}			30
Vissers [54]	33% ²	N/A	Oral pre-exposure prophylaxis (90%, 75%, FSW)			251 per 100,000 uninfected person-years ²		10
Vissers [54]	33% ²	N/A	Oral pre-exposure prophylaxis (90%, 75%, FSW and clients)			785 per 100,000 uninfected person-years ²		10
South Africa								
Vickerman [114]	30% ⁴	60%	Vaginal microbicide (40%, 75%, FSW)	1% ² ↓			1.3% ²	4
Vickerman [115]	27% ³	62%	↑ condom-use (100%, 20%) & STI treatment (100%, 20%, FSW)	2% ² ↓		53-65 per 100,000 adults ²		1
Vickerman [115]	27% ³	62%	↑ condom-use (100%, 20%) & STI treatment (100%, 100%, FSW)	14% ² ↓				1
Vickerman [115]	27% ³	62%	↑ condom-use (100%, 20%) & STI treatment (100%, 100%, FSW and clients)	28% ² ↓				1
Johnson [116]	26% ²	N/A	HIV vaccine (30%, 60%, FSW)			0.4-2.4 per 100 FSWs vaccinated ²		10
Zimbabwe								
Hallett [53]	22%	N/A	Condom use (100%, 80-100, FSW) & STI treatment (100%, 10%, FSW)	10% ² ↓				5
Hallett [53]	22% ²	N/A	Condom use (100%, 80-100, FSW) & STI treatment (100%, 10%, FSW)	35% ² ↓				10
Kenya								
Vissers [54]	16% ²	N/A	Oral pre-exposure prophylaxis (90%, 75%, FSW)			166 per 100,000 uninfected person-years ²		10
Vissers [54]	16% ²	N/A	Oral pre-exposure prophylaxis (90%, 75%, FSW and clients)			733 per 100,000 uninfected person-years		10
Decker [117]	6%	33.8%	↓ prevalence of sexual violence from baseline 32% to 2.4%			53,200 ²	12% ²	5
Wirtz [118, 119]	6%	33.8%	100% FSW community empowerment from 5% ; leads to ↑ consistent condom use by 51%			16,661-20,680	6% ²	5
Steen[24]	24% ²	45%	↑ Condom-use (85%, 100%, FSW)	63% ^{↓2}	46% ^{↓2}			20
Benin								
Boily [9]	1% ²	53%	↑ Condom-use (100%, 60%, FSW) & STI treatment (100%, 50%, FSW)	19% ³ ↓			58-85%	10
Vickerman [120]	3% ²	55%	↑ Condom-use (100%, 81%, FSW)	21.5% ² ↓ (17.7-24.9)				4
Vickerman [120]	3% ²	55%	STI testing & treatment (80%, 85%, FSW)				10% (6-12) ²	4
Vickerman [114]	3.3% ⁴	49%	Vaginal microbicide (40%, 75%, FSW)	26% ² ↓			24.8%	4
Williams[13]	3.0% ²	55%	↑ Condom-use (100%, 87.7%, FSW)				33% (20-46)	15

FSW (female sex worker); GP (general population); PF (prevented fraction; the % of HIV infections averted); N/A (not available from the study);

*Relative change in HIV incidence or prevalence; ¹Range, uncertainty bounds, or 95% credible intervals from multiple simulations or epidemic realizations are shown in brackets.

²Total population (i.e. including FSWs and clients); ³General population females (i.e. excluding FSWs); ⁴Ante-natal clinic surveillance

Systematic review of mathematical modeling studies (updated January 2014) generated from the peer-reviewed literature [44, 50] Studies were included if they used dynamical models of heterosexual HIV transmission, incorporated behavioural heterogeneity in risk, and provided at least one of the following primary estimates in the wider community (a) the population attributable fraction (PAF) of HIV infections due to sexual partnerships within high-risk groups, or (b) the number per capita or fraction of HIV infections averted, or change in HIV prevalence/incidence due to focused interventions.

ACCEPTED MANUSCRIPT

Table 4. FSW/client data needs in generalized HIV epidemics.

	System	Approach	Quantify role of sex work in local HIV epidemic	HIV programme-related objectives	
				Design/adapt HIV programmes	Monitor HIV programme reach & epidemic impact
			Transmission PAF and/or re-define HIV epidemic types		
Define FSW/clients	Routine Surveillance	Consensus and based on feasibility for programmes; and epidemiological risk (client numbers)	√		√
		Research on sensitivity and specificity of various definitions (on HIV acquisition)			
FSW/client population size	Routine Surveillance	Feasible non-survey-based methods	√	√	√
		Triangulate multiple size estimation approaches where possible			
HIV acquisition risks					
Individual-level	Intermittent Surveillance	Representatively sampled bio-behavioural surveys of FSWs/clients	√	√	
		Enhanced programmatic routine data collection			
Structural-level	Research	Enhanced programmatic routine data collection		√	
HIV transmission networks					
Sexual partnerships and networks	Intermittent Surveillance	Representatively sampled behavioural surveys of FSWs/clients	√	√	
		Sexual network surveys			
		Phylogenetic analyses of HIV sequence data (requires FSW, client, and general population HIV sequencing)			
Other HIV transmission networks (casual sex, MSM and infecting drug use)	Intermittent Surveillance	As above (and including other networks with phylogenetic analyses)	√	√	
Reproductive Health Data	Intermittent Surveillance	Representatively sampled behavioural surveys of FSWs	√ (to include parent to child transmission in transmission PAF estimates)	√	√
		Reproductive Health Programme data			
Baseline HIV interventions					
Condom-use	Routine surveillance	Representatively sampled behavioural surveys of FSWs/clients	√	√	√

		FSW programme data			
HIV treatment and care continuum	Routine surveillance	Representatively sampled behavioural surveys of FSWs/clients	√	√	√
		FSW and ART programme data			
Structural (including community-based programmes)	Research	Situational Assessment of Laws	*	√	√
		Gender-Based Violence Programme Data	*		

The check marks (√) reflect the areas (quantify role of sex work; program) in which the data are needed.

*If examining the role of structural and social factors (i.e. distal factors) influencing sex work on overall HIV transmission, then these data should be included.

Table 5. Current data and methods to characterize the role of sex work in HIV epidemics

System	Estimate	Approach	Number of generalized HIV epidemics in SSA ² with recent data, of 39 countries (years)	Median values (range)	Concerns/Issues	Sources
HIV burden						
Routine surveillance	HIV prevalence, FSWs	Household Demographic Health Surveys ¹ of adult females	1 (2002-2013)	---	Social desirability bias	UNAIDS Country Reports 2012
		FSW bio-behavioural surveys	23 (2002-2013)	23.1% (7.2-70.7)	Sampling frames often not based on pre-sampling enumeration; adjusting estimate based on sampling design	UNAIDS Country Reports 2012; Systematic reviews
	HIV prevalence, clients	Household Demographic Health Surveys ¹ of adult males	23 (2002-2013)	4.7% (0.43-32.0)	Social desirability bias	UNAIDS Country Reports 2012
Intermittent Surveillance and Research	HIV prevalence, FSWs	Programme or clinic-based, intervention trials; FSW bio-behavioural surveys	21 (2002-2013)	22.8% (0.9-69.8)	Sampling frames often not based on pre-sampling enumeration; adjusting estimate based on sampling design; definition of sex work varies	Systematic reviews[22, 46, 83]
	HIV incidence, FSWs	Programme-based cohorts; intervention trials	6 (2002-2013)	2.9 (0.9-7.6) per 100-person years	Selection bias and frailty effect; high attrition (loss to follow-up); missing early period of sex work (25-60% of FSWs already infected with HIV before enrollment in cohorts)	Systematic reviews[46, 121]
	HIV prevalence, clients	Client bio-behavioural surveys	8 (2002-2013)	2.9% (0.42-6.8)	All convenience samples	Systematic reviews[44]
	HIV incidence, clients	None	None	---	---	Systematic reviews[44]
Population at risk (FSW, client population size)						

Intermittent Surveillance and Research	FSW population size; % of adult females engaged in sex work	Several enumeration methods	23 (2002-2013)	1.8% (range, 0.25-11.5%)	Each enumeration approach has pros & cons[72]; estimates from general population surveys subject to social desirability bias (especially those conducted via face-to-face interviews); definition of sex work varies	UNAIDS Guidelines on Enumerating Key Populations; Systematic Reviews[44, 122]
	Client population size; % of adult males engaged in sex work	Household Demographic Health Surveys ¹ or general population surveys of adult males	32 (2002-2013)	3.0% (range, 0.025-19.8%)	Estimates from general population surveys subject to social desirability bias	Systematic reviews[44, 123]
	Client population size; % of adult males engaged in sex work	Indirect method by triangulating FSW population size, and FSW/client behavioural survey data	2 (2002-2013)	7.2-30.0%	Requires FSW data and behavioural data on clients	Systematic reviews[44]
Examples of HIV risk factors & characteristics of sex work						
Intermittent Surveillance and Research	Duration engaged in sex work	Behavioural surveys & Programme-based cohorts	5 (1987-2011)	FSWs: 5.5 years (range, 0.6,6.0) Clients: 4.6-5.2 years	Cross-sectional and censored data; no incidence of sex work cessation rate data; no re-entry into sex work	Systematic Reviews[124, 125]
	Sexual partnership types and periodicity of sexual acts by partner	Behavioural surveys & Programme-based cohorts			Often doesn't differentiate between regular clients, new clients, and non-paying partners	
	% of FSWs reporting condom-use with last client	Behavioural surveys in major cities	10 (2012)	91% (32-98)	Not part of routine surveillance	UNAIDS Epidemic Update 2013
	Active syphilis prevalence	Bio-behavioral surveys in major cities	10 (2010)	2.4% (0,19.6)	Not part of routine surveillance	UNAIDS Epidemic Update 2011
	Unprotected anal sex (ever)	Behavioural surveys & Programme-based cohorts	7 (1985-2011)	0.0-80.0%	Very little data on frequency of unprotected anal sex	Systematic Review[126]
HIV engagement, prevention, and care continuum						

Routine surveillance	% of FSWs who know where to access HIV testing AND received condoms in last 12 months	Behavioural surveys in major cities	17 (2010-2012)	56.3% (1.5,89.9)	Not indicative of the extent to which FSWs' HIV risk is addressed and reduced; misleading 'coverage' without representative sampling & enumeration	UNAIDS Country Reports 2012
	HIV programmes available to FSWs	Survey of country-offices for HIV control	36 (2010)	---	Not part of routine reporting; no data on uptake of services or FSW coverage	UNAIDS Epidemic Update 2011
Intermittent Surveillance and Research	Community-mobilization	Behavioural Surveys	1 (2014)	aOR 2.39 (1.36-4.02) for increased condom use	Complex study designs needed since benefits are mediated by increased condom use during commercial sex	Systematic Reviews[89]
	Structural interventions	Programme-based cohorts, Mathematical Models	1 (2014)	Avert 17% (1,31) of incident infections in Kenya over 10 years	Effects are mediated over long	Mathematical Model[97]
	HIV testing (tested for HIV in last 1 year and received results), FSWs	Behavioural surveys in major cities; Programme-based registry data	26 (2010-2012)	64.1% (6.5,93.7)	May decline over time as known HIV-infected FSWs no longer in the denominator; selection bias and non-representative sampling	UNAIDS Country Reports 2012[30]
	Duration in sex work when registered in FSW programmes	Behavioural surveys & Programme-based cohorts	11 (1987-2013)	2.6 years (0.7,5.1)	Reflects time to reach FSWs after entering sex work; selection bias and non-representative sampling	Systematic Reviews[127, 128]
	Age when registered in FSW programmes	Behavioural surveys & Programme-based cohorts	8 (1987-2013)	26.8 years (24,31.5)	Reflects time to reach FSWs after entering sex work; selection bias	Systematic Reviews[127, 128]
	ART coverage & HIV care continuum among HIV-infected FSW	Research cohorts & cross-sectional surveys	2 (2002-2013)	ART coverage (among HIV-infected FSWs): 0.4%-47.5% Retention on ART: 90-97%	Restricted to research settings and may not be representative of HIV care continuum among FSW	Systematic Review[108]
Impact of FSW/client intervention on wider community						

Research	Condom-based education	Community-randomized control trial	1 (2000-2003)	No significant reduction in HIV incidence in the wider community	HIV incidence in the FSW/client population not measured; unclear scope and coverage of FSW interventions	[129]
	Various interventions	Dynamic mathematical modelling studies	5 (2000-2013)	Range of impact (see Table 3) based on time-horizon and outcome measure	Not all models calibrated to FSW HIV data; no systematic evaluation of the same FSW intervention across settings	Updated systematic review[13, 24, 48]

¹Country-wide, household-based, face-to-face interviews.

²Sub-Saharan Africa (SSA).

References

- [1] C. Beyrer, S.D. Baral, F. van Griensven, S.M. Goodreau, S. Chariyalertsak, A.L. Wirtz, R. Brookmeyer, Global epidemiology of HIV infection in men who have sex with men, *Lancet*, 380 (2012) 367-377.
- [2] S. Baral, C. Beyrer, K. Muessig, T. Poteat, A.L. Wirtz, M.R. Decker, S.G. Sherman, D. Kerrigan, Burden of HIV among female sex workers in low-income and middle-income countries: a systematic review and meta-analysis, *Lancet Infect Dis*, 12 (2012) 538-549.
- [3] S. Strathdee, et al., HIV and the risk environment among people who inject drugs: Past, present, and projections for the future, *Lancet*, In Press. (2010).
- [4] Unaid, Who, Guidelines for Second Generation HIV Surveillance, WHO, Geneva, 2000.
- [5] National AIDS Spending Assessment (NASA) reports, 2013.
- [6] S.D. Baral, A. Grosso, C. Holland, E. Papworth, The epidemiology of HIV among men who have sex with men in countries with generalized HIV epidemics, *Curr Opin HIV AIDS*, 9 (2014) 156-167.
- [7] M.F. Chersich, S. Luchters, I. Ntaganira, A. Gerbase, Y.R. Lo, F. Scorgie, R. Steen, Priority interventions to reduce HIV transmission in sex work settings in sub-Saharan Africa and delivery of these services, *J Int AIDS Soc*, 16 (2013) 17980.
- [8] S. Baral, N.-P. Mafuya, Rewriting the narrative of the epidemiology of HIV in Sub-Saharan Africa, SAHARA-J:.
- [9] M.C. Boily, C. Lowndes, M. Alary, The impact of HIV epidemic phases on the effectiveness of core group interventions: Insights from mathematical models, *Sex Transm Infect*, 78 (2002) 178-190.
- [10] L. Behanzin, S. Diabate, I. Minani, M.-C. Boily, A.-C. Labbe, C. Ahoussinou, S. Anagonou, D.M. Zannou, C.M. Lowndes, M. Alary, Decline in the prevalence of HIV and sexually transmitted infections among female sex workers in Benin over 15 years of targeted interventions, *J Acquir Immune Defic Syndr*, 63 (2013) 126-134.
- [11] L. Behanzin, A. Buve, C.M. Lowndes, D.M. Zannou, I. Minani, S. Anagonou, M.C. Boily, A.C. Labbe, R. Bitera, M. Alary, Decline in HIV prevalence among young people in the general population of Cotonou, Benin, 1998-2008, *Sex Transm Infect*, 87 (2011) A46-A46.
- [12] L. Behanzin, S. Diabate, I. Minani, C.M. Lowndes, M.-C. Boily, A.-C. Labbe, S. Anagonou, D.M. Zannou, A. Buve, M. Alary, Decline in HIV prevalence among young men in the general population of Cotonou, Benin, 1998-2008, *PLoS One*, 7 (2012).
- [13] J. Williams, M. Alary, C. Lowndes, A. Buve, L. Béhanzin, A.-C. Labbé, S. Anagonou, M. Ndour, I. Minani, C. Ahoussinou, D. Zannou, M.-C. Boily, Positive impact of increases in condom use among female sex workers and clients in a medium HIV prevalence epidemic: Modelling results from projet SIDA-1/2/3 in Cotonou, Benin, *PLoS One*, 9 (2014) e102643.
- [14] S.O. Aral, W. Cates, Coverage, context and targeted prevention: Optimising our impact, *Sex Transm Infect*, 89 (2013) 336-338.
- [15] UNAIDS/WHO Working Group On Global HIV/AIDS and STI Surveillance, Guidelines for second generation HIV surveillance, World Health Organization and Joint United Nations Programme on HIV/AIDS, Geneva, 2000.
- [16] E. Gouws, P.J. White, J. Stover, T. Brown, Short term estimates of adult HIV incidence by mode of transmission: Kenya and Thailand as examples, *Sex Transm Infect*, 82 (2006) iii51-iii55.

- [17] A.M. Cote, F. Sobela, A. Dzokoto, K. Nzambi, C. Asamoah-Adu, A.C. Labbe, B. Masse, J. Mensah, E. Frost, J. Pepin, Transactional sex is the driving force in the dynamics of HIV in Accra, Ghana, *AIDS*, 18 (2004) 917-925.
- [18] F. Sobéla, J. Pépin, S. Gbéléou, A.K. Banla, V.P. Pitche, W. Adom, D. Sodji, E. Frost, S. Deslandes, A.-C. Labbé, A tale of two countries: HIV among core groups in Togo, *J Acquir Immune Defic Syndr*, 51 (2009) 216-223
- [19] C. Lowndes, M. Alary, M. Belleau, K. Bosu, D. Kintin, J. Nnorom, K. Seck, J. Victor-Ahuchogu, D. Wilson, West Africa HIV/AIDS epidemiology and response synthesis: Implications for prevention, Global AIDS Monitoring and Evaluation Team (GAMET), 2008.
- [20] S. Mishra, S.K. Sgaier, L.H. Thompson, S. Moses, B.M. Ramesh, M. Alary, D. Wilson, J.F. Blanchard, HIV epidemic appraisals for assisting in the design of effective prevention programmes: Shifting the paradigm back to basics, *PLoS One*, 7 (2012) doi: 10.1371/journal.pone.0032324.
- [21] A. Prüss-Ustün, J. Wolf, T. Driscoll, L. Degenhardt, M. Neira, J.M.G. Calleja, HIV Due to Female Sex Work: Regional and Global Estimates, *PLoS ONE*, 8 (2013) e63476.
- [22] S. Baral, C. Beyrer, K. Muessig, T. Poteat, A.L. Wirtz, M.R. Decker, S.G. Sherman, D. Kerrigan, Burden of HIV among female sex workers in low-income and middle-income countries: A systematic review and meta-analysis, *Lancet Infect Dis*, (2012).
- [23] P. Vickerman, A.M. Foss, M. Pickles, K. Deering, S. Verma, D. Eric, C.M. Lowndes, S. Moses, M. Alary, M.C. Boily, To what extent is the HIV epidemic in southern India driven by commercial sex? A modelling analysis, *AIDS*, 24 (2010) 2563-2572.
- [24] R. Steen, J.A.C. Hontelez, A. Veraart, R.G. White, S.J. de Vlas, Looking upstream to prevent HIV transmission: can interventions with sex workers alter the course of HIV epidemics in Africa as they did in Asia?, *AIDS*, 28 (2014) 891-899.
- [25] S. Mishra, M. Pickles, J. Blanchard, S. Moses, M. Boily, Distinguishing the source of HIV transmission from the distribution of newly acquired HIV infections: Why is it important for HIV prevention programming?, *Sex Transm Infect*, (2013) doi: 10.1136/sextrans-2013-051250.
- [26] K.N. Deering, P. Vickerman, S. Moses, B.A. Ramesh, J.F. Blanchard, M.C. Boily, The impact of out-migrants and out-migration on the HIV/AIDS epidemic: A case study from south-west India, *AIDS* 22 Supplement 5 (2008) 165-S181.
- [27] D. Wilson, D.T. Halperin, "Know your epidemic, know your response": a useful approach, if we get it right, *Lancet*, 372 (2008) 423-426.
- [28] S. Moses, J.F. Blanchard, H. Kang, F. Emmanuel, S. Reza Paul, M.L. Becker, D. Wilson, M. Cleason, *AIDS in South Asia: Understanding and responding to a heterogenous epidemic*, The World Bank, Washington, 2006.
- [29] S. Mishra, M. Pickles, J. Blanchard, S. Moses, Z. Shubber, M. Boily, Validation of the Modes of Transmission model as a tool to guide HIV prevention: A comparative modeling study, *PLoS ONE*, Jul 9 (2014).
- [30] J.A. Yorke, H.W. Hethcote, A. Nold, Dynamics and control of transmission of gonorrhoea, *Sex Transm Dis*, 5 (1978) 51-56.
- [31] J.N. Wasserheit, S.O. Aral, The dynamic topology of sexually transmitted disease epidemics: Implications for prevention strategies, *J Infect Dis*, 174 (1996) S201-S213.
- [32] R.M. Anderson, R.M. May, M.C. Boily, G.P. Garnett, J.T. Rowley, The spread of HIV-1 in africa: Sexual contact patterns and the predicted demographic impact of AIDS, *Nature*, 352 (1991) 581-589.

- [33] J.C. Thomas, M.J. Tucker, The development and use of the concept of a sexually transmitted disease core, *The Journal of Infectious Diseases*, 174 (1996) S134-S143.
- [34] R.C. Brunham, Core group theory: A central concept in STD epidemiology, *Venereology-the Interdisciplinary International Journal of Sexual Health*, 10 (1997) 34-35.
- [35] J.F. Blanchard, Populations, pathogens, and epidemic phases: Closing the gap between theory and practice in the prevention of sexually transmitted diseases, *Sex Transm Infect*, 78 (2002) I183-I188.
- [36] R. Anderson, R. May, *Infectious diseases of humans: Dynamics and control*, Oxford University Press, Oxford, UK, 1991.
- [37] UNAIDS, *HIV Prevention Toolkit*, 2008.
- [38] D. Wilson, S. Challa, HIV epidemiology: recent trends and lessons, in: E. Lule, R. Seifman, A. David (Eds.) *The changing HIV/AIDS landscape: selected papers for the World Bank's agenda for action in Africa, 2007-2011*, World Bank, Washington, DC, 2002.
- [39] UNAIDS, *Report on the global AIDS epidemic*, Geneva, 2013.
- [40] E. Gouws, P. Cuchi, Focusing the HIV response through estimating the major modes of HIV transmission: A multi-country analysis, *Sex Transm Infect*, 88 (2012) i76-i85.
- [41] M. Alary, C.M. Lowndes, The central role of clients of female sex workers in the dynamics of heterosexual HIV transmission in sub-Saharan Africa, *AIDS*, 18 (2004) 945-947.
- [42] UNAIDS, *Modelling the expected short-term distribution of incidence of HIV infections by exposure group*, Geneva, 2012.
- [43] Z. Shubber, S. Mishra, J. Vesga, M.C. Boily, The HIV Modes of Transmission model: A systematic review of its findings and adherence to guidelines, *J Int AIDS Soc*, 17 (2014).
- [44] S. Mishra, *Using Mathematical Models to Characterise HIV Epidemics for the Design of HIV Prevention Strategies* (<https://spiral.imperial.ac.uk/handle/10044/1/24913>), School of Public Health, Imperial College, London, England, 2014.
- [45] A. Low, N. Nagot, F. Canci, I. Konate, H. Weiss, N. Meda, M. Segondy, S. Sweeney, P. Van De Perre, P. Mayaud, P. Vickerman, *Modelling the impact of ART use in female sex workers on transmission of HIV-1 in a West African setting*, Submitted (PLoS FSW Collection), (2014).
- [46] S. Mishra, S. Moses, M.C. Boily, L.R. Mckinnon, J. Williams, A. Low, M. Alary, M.L. Becker, P. Vickerman, C. Watts, J. Blanchard, *Characterizing the contribution of sex work to HIV epidemics in Sub-Saharan Africa: a systematic review, meta-analysis, and mathematical modelling study*, Submitted, (2014).
- [47] J.A. Yorke, H.W. Hethcote, A. Nold, Dynamics and control of the transmission of gonorrhoea, *Sex Transm Dis*, 5 (1978) 51-56.
- [48] S. Mishra, R. Steen, A. Gerbase, Y.-R. Lo, M.-C. Boily, *Impact of high-risk sex and focused interventions in heterosexual HIV epidemics: A systematic review of mathematical models*, *PLoS One*, 7 (2012) e50691.
- [49] S.-J. Anderson, P. Cherutich, N. Kilonzo, I. Cremin, D. Fecht, D. Kimanga, M. Harper, R.L. Masha, P.B. Ngongo, W. Maina, M. Dybul, T.B. Hallett, *Maximising the effect of combination HIV prevention through prioritisation of the people and places in greatest need: a modelling study*, *Lancet*, 384 (2014) 249-256.
- [50] S. Mishra, M. Pickles, J.F. Blanchard, S. Moses, Z. Shubber, M.C. Boily, *Validation of the modes of transmission model as a tool to prioritize HIV prevention targets: a comparative modelling analysis*, *PLoS One*, 9 (2014) e101690.

- [51] R. Steen, J.A. Hontelez, A. Veraart, R.G. White, S.J. de Vlas, Looking upstream to prevent HIV transmission: can interventions with sex workers alter the course of HIV epidemics in Africa as they did in Asia?, *Aids*, 28 (2014) 891-899.
- [52] J.R. Williams, M. Alary, C.M. Lowndes, L. Behanzin, A.C. Labbe, S. Anagonou, M. Ndour, I. Minani, C. Ahoussinou, D.M. Zannou, M.C. Boily, Positive impact of increases in condom use among female sex workers and clients in a medium HIV prevalence epidemic: modelling results from Project SIDA1/2/3 in Cotonou, Benin, *PLoS One*, 9 (2014) e102643.
- [53] T.B. Hallett, G.P. Garnett, Z. Mupamberiyi, S. Gregson, Measuring effectiveness in community randomized trials of HIV prevention, *Int J Epidemiol*, 37 (2008) 77-87.
- [54] D.C. Vissers, H.A. Voeten, N.J. Nagelkerke, J.D. Habbema, S.J. de Vlas, The impact of pre-exposure prophylaxis (PrEP) on HIV epidemics in Africa and India: a simulation study, *PLoS One*, 3 (2008) e2077.
- [55] National AIDS Control Council, Kenya UNGASS country progress report 2012, Geneva, 2013.
- [56] K.L. Dunkle, R.K. Jewkes, H.C. Brown, G.E. Gray, J.A. Mcintyre, S.D. Harlow, Transactional sex among women in Soweto, South Africa: Prevalence, risk factors and association with HIV infection, *Soc Sci Med*, 59 (2004) 1581-1592.
- [57] S. Baral, C.H. Logie, A. Grosso, A.L. Wirtz, C. Beyrer, Modified social ecological model: A tool to guide the assessment of the risks and risk contexts of HIV epidemics, *BMC Public Health*, 13 (2013).
- [58] S. Baral, N. Phaswana-Mafuya, Rewriting the narrative of the epidemiology of HIV in sub-Saharan Africa, *SAHARA J : journal of Social Aspects of HIV/AIDS Research Alliance / SAHARA*, Human Sciences Research Council, 9 (2012) 127-130.
- [59] R. Jewkes, R. Morrell, Y. Sikweyiya, K. Dunkle, L. Penn-Kekana, Transactional relationships and sex with a woman in prostitution: Prevalence and patterns in a representative sample of south african men, *BMC Public Health*, 12 (2012).
- [60] R. Jewkes, K. Dunkle, M. Nduna, N. Shai, Transactional sex and HIV incidence in a cohort of young women in the stepping stones trial, *J AIDS Clinic Res*, (2012) 3:5.
- [61] UNAIDS, UNAIDS guidance note on HIV and sex work, Geneva, 2009.
- [62] J. Robinson, Y. Yeh, Transactional sex as a response to risk in Western Kenya, Policy Research Working Paper 4857, The World Bank, 2009.
- [63] M.L. Cooper, L.L. Barber, R. Zhaoyang, A.E. Talley, Motivational pursuits in the context of human sexual relationships, *Journal of personality*, 79 (2011) 1333-1368.
- [64] K.L. Dunkle, G.M. Wingood, C.M. Camp, R.J. Diclemente, Economically motivated relationships and transactional sex among unmarried african american and white women: Results from a us national telephone survey, *Public Health Reports*, 125 (2010) 90-100.
- [65] K. Stoebenau, R. Nair, V. Rambeloson, P. Rakotoarison, V. Razafintsalama, R. Labonté, Consuming sex: The association between modern goods, lifestyles and sexual behaviour among youth in madagascar, *Global. Health*, 9 (2013) 1-19.
- [66] Y.Z. Zembe, L. Townsend, A. Thorson, A.M. Ekström, Predictors of inconsistent condom use among a hard to reach population of young women with multiple sexual partners in peri-urban south africa, *PLoS One*, 7 (2012) e51998.
- [67] H. Prudden, C.H. Watts, P. Vickerman, N. Bobrova, L. Heise, M.K. Ogungbemi, A. Momah, J.F. Blanchard, A.F. Foss, Can the UNAIDS Modes of Transmission Model be improved? A comparison of the original and revised model projections using data from Nigeria., *AIDS*, 27 (2013) 2623-2635.

- [68] S.D. Baral, A. Grosso, Z. Mnisi, D. Adams, R. Fielding-Miller, X. Mabuza, D. Kerrigan, C. Kennedy, Examining Prevalence of HIV Infection and Risk Factors among Female Sex Workers (FSW) and Men who have Sex with Men (MSM) In Swaziland, in: C.f.C. Program (Ed.) Project SEARCH, Task Order No.2, USAID, Baltimore, MD, 2013.
- [69] A. Ikpeazu, A. Momah-Haruna, B. Madu Mari, L.H. Thompson, K. Ogungbemi, U. Daniel, H. Aboki, S. Isac, M. Gorgens, E. Mziray, N. Njie, F.A. Akala, F. Emmanuel, W.O. Odek, J.F. Blanchard, An Appraisal of Female Sex Work in Nigeria - Implications for Designing and Scaling Up HIV Prevention Programmes, *PLoS One*, 9 (2014) e103619.
- [70] Abdul-Quader AS, Baughman AL, H. W, Estimating the size of key populations: current status and future possibilities, *Curr Opin HIV AIDS* (2014).
- [71] F. Emmanuel, S. Isac, J.F. Blanchard, Using geographical mapping of key vulnerable populations to control the spread of HIV epidemics, *Expert review of anti-infective therapy*, 11 (2013) 451-453.
- [72] UNAIDS/WHO Working Group on Global HIV/AIDS and STI Surveillance, Guidelines on estimating the size of populations most at risk of HIV, World Health Organization, Dept of HIV/AIDS, UNAIDS, Geneva, 2010.
- [73] K.M. Sabin, L.G. Johnston, Epidemiological challenges to the assessment of HIV burdens among key populations: respondent-driven sampling, time-location sampling and demographic and health surveys, *Curr Opin HIV AIDS*, 9 (2014) 101-106.
- [74] A.S. Abdul-Quader, A.L. Baughman, W. Hladik, Estimating the size of key populations: current status and future possibilities, *Curr Opin HIV AIDS*, 9 (2014) 107-114
110.1097/COH.0000000000000041.
- [75] W.O. Odek, G. Githuka, A. L., P. Njoroge, L. Kasonde, M. Gorgens, J. Kimani, L. Gelmon, G. Gakii, S. Isac, E. Faran, H. Musyoki, W. Maina, J.F. Blanchard, S. Moses, Estimating the size of the female sex worker population in Kenya to inform HIV prevention programming, Submitted (*PLoS FSW Collection*), (2013).
- [76] Centre For Global Public Health (CGPH), University of Manitoba And National AIDS/STI Programme (Nascop), Republic of Kenya, Geographic mapping of most at risk populations for HIV (MARPs) in Kenya Centre for Global Public Health, University of Manitoba, Winnipeg, 2012.
- [77] C. Watts, C. Zimmerman, A.M. Foss, M. Hossain, A. Cox, P. Vickerman, Remodelling core group theory: the role of sustaining populations in HIV transmission, *Sex Transm Infect*, 86 Suppl 3 (2010) iii85-92.
- [78] H. Stigum, W. Falck, P. Magnus, The core group revisited: the effect of partner mixing and migration on the spread of gonorrhoea, Chlamydia, and HIV, *Mathematical biosciences*, 120 (1994) 1-23.
- [79] A.D. Redd, T.C. Quinn, A.A. Tobian, Frequency and implications of HIV superinfection, *Lancet Infect Dis*, 13 (2013) 622-628.
- [80] L. Behanzin, S. Diabate, I. Minani, C.M. Lowndes, M.-C. Boily, A.-C. Labbe, S. Anagonou, D.M. Zannou, A. Buve, M. Alary, Assessment of HIV-related risky behaviour: A comparative study of face-to-face interviews and polling booth surveys in the general population of Cotonou, Benin, *Sex Transm Infect*, 89 (2013) 595-601.
- [81] P. Aggleton, S.A. Bell, A. Kelly-Hanku, 'Mobile men with money': HIV prevention and the erasure of difference, *Global public health*, 9 (2014) 257-270.
- [82] J.A. Seeley, E.H. Allison, HIV/AIDS in fishing communities: challenges to delivering antiretroviral therapy to vulnerable groups, *AIDS Care*, 17 (2005) 688-697.

- [83] E. Papworth, N. Cessay, I. An, M. Thiam-Niangoin, O. Ky-zerbo, C. Holland, F. Dramé, A. Grosso, D. Diouf, S. Baral, Epidemiology of HIV among female sex workers, their clients, men who have sex with men and people who inject drugs in West and Central Africa, *J Int AIDS Soc*, 16(Suppl 3) (2013) 18751.
- [84] C M Lowndes, M Alary, A.C Labbé, C Gnintoungbè, M Belleau, L Mukenge, H Meda, M Ndour, S Anagonou, A. Gbaguidi, Interventions among male clients of female sex workers in benin, west africa: An essential component of targeted hiv preventive interventions, *Sex Transm Infect*, 83 (2007) 577-581.
- [85] AIDS Control Program, Ministry of Health, Government of Uganda, The Crane Survey Report 2010, Makerere University, PEPFAR, Centers for Disease Control and Prevention, Ministry of Health,, 2011.
- [86] Family Health International, Behavioral surveillance surveys: Guidelines for repeated behavioral surveys in populations at risk of hiv, 2000.
- [87] M.S. Handcock, K.J. Gile, C.M. Mar, Estimating the Size of Populations at High Risk for HIV using Respondent-Driven Sampling Data (<http://www.stat.ucla.edu/~handcock/hpmrg/software/handcockgilemarBiometrics2014.pdf>), UCLA, Lost Angeles, 2014.
- [88] K. Shannon, S.M. Goldenberg, K.N. Deering, S.A. Strathdee, HIV infection among female sex workers in concentrated and high prevalence epidemics: why a structural determinants framework is needed, *Curr. Opin. HIV AIDS*, 9 (2014) 174-182.
- [89] S. Baral, C.E. Holland, K. Shannon, C. Logie, P. Semugoma, B. Sithole, E. Papworth, F. Drame, C. Beyrer, Enhancing benefits or increasing harms: community responses for HIV among men who have sex with men, transgender women, female sex workers, and people who inject drugs, *J Acquir Immune Defic Syndr*, 66 Suppl 3 (2014) S319-328.
- [90] L. Van Damme, G. Ramjee, M. Alary, B. Vuylsteke, V. Chandeying, H. Rees, P. Sirivongrangson, L.M. Tshibaka, V. Ettiègne-Traoré, C. Uaheowitchai, S.S.A. Karim, B. Mâsse, J. Perriens, M. Laga, Effectiveness of COL-1492, a nonoxynol-9 vaginal gel, on HIV-1 transmission in female sex workers: a randomised controlled trial, *The Lancet*, 360 (2002) 971-977.
- [91] R.S. McClelland, L. Lavreys, W.M. Hassan, K. Mandaliya, J.O. Ndinya-Achola, J.M. Baeten, Vaginal washing and increased risk of HIV-1 acquisition among African women: a 10-year prospective study, *Aids*, 20 (2006) 269-273.
- [92] D. Civic, D. Wilson, Dry sex in Zimbabwe and implications for condom use, *Soc Sci Med*, 42 (1996) 91-98.
- [93] UNGASS 2012 Country Progress Reports, 2013.
- [94] F. Scorgie, K. Vasey, E. Harper, M. Richter, P. Nare, S. Maseko, M.F. Chersich, Human rights abuses and collective resilience among sex workers in four African countries: a qualitative study, *Globalization and health*, 9 (2013) 33.
- [95] F.K. Tounkara, S. Diabate, F.A. Guedou, C. Ahoussinou, F. Kintin, D.M. Zannou, A. Kpatchavi, E. Bedard, R. Bietra, M. Alary, Violence, condom breakage, and HIV infection among female sex workers in Benin, West Africa, *Sex Transm Dis*, 41 (2014) 312-318.
- [96] V.A. Fonner, D. Kerrigan, Z. Mnisi, S. Ketende, C.E. Kennedy, S. Baral, Social cohesion, social participation, and HIV related risk among female sex workers in Swaziland, *PLoS One*, 9 (2014) e87527.

- [97] K. Shannon, S.A. Strathdee, S.M. Goldenberg, P. Duff, P. Mwangi, M. Rusakova, S. Reza-Paul, J. Lau, K. Deering, M.R. Pickles, M.C. Boily, Global epidemiology of HIV among female sex workers: influence of structural determinants, *Lancet*, (2014) 55-71.
- [98] R. Lorway, S.Y. Shaw, S.D.H. Hwang, S. Reza-Paul, A. Pasha, J.L. Wylie, S. Moses, J.F. Blanchard, From individuals to complex systems: Exploring the sexual networks of men who have sex with men in three cities of karnataka, india, *Sex Transm Infect*, 86 (2010) 70-78.
- [99] W.Z. Chow, L.Y. Ong, S.H. Razak, Y.M. Lee, K.T. Ng, Y.K. Yong, A. Azmel, Y. Takebe, H.A. Al-Darraj, A. Kamarulzaman, K.K. Tee, Molecular diversity of HIV-1 among people who inject drugs in Kuala Lumpur, Malaysia: massive expansion of circulating recombinant form (CRF) 33_01B and emergence of multiple unique recombinant clusters, *PLoS One*, 8 (2013) e62560.
- [100] M.K. Grabowski, A.D. Redd, Molecular tools for studying HIV transmission in sexual networks, *Curr Opin HIV AIDS*, 9 (2014) 126-133.
- [101] R.J. Lubelchek, S.C. Hoehnen, A.L. Hotton, S.L. Kincaid, D.E. Barker, A.L. French, Transmission clustering among newly diagnosed HIV patients in Chicago, 2008 to 2011: using phylogenetics to expand knowledge of regional HIV transmission patterns, *J Acquir Immune Defic Syndr*, (2014).
- [102] E.M. Volz, E. Ionides, E.O. Romero-Severson, M.-G. Brandt, E. Mokotoff, J.S. Koopman, HIV-1 Transmission during Early Infection in Men Who Have Sex with Men: A Phylodynamic Analysis, *PLoS Med*, 10 (2013) e1001568.
- [103] E.M. Volz, S.L. Kosakovsky Pond, M.J. Ward, A.J. Leigh Brown, S.D.W. Frost, Phylodynamics of Infectious Disease Epidemics, *Genetics*, 183 (2009) 1421-1430.
- [104] L.F. Johnson, N. Geffen, A Comparison of Two Mathematical Modeling Frameworks for Evaluating Sexually Transmitted Infection Epidemiology, *Sex Transm Dis*, 43 (2016) 139-146.
- [105] S. Baral, C.S. Todd, B. Aumakhan, J. Lloyd, A. Delegchoimbol, K. Sabin, HIV among female sex workers in the Central Asian Republics, Afghanistan, and Mongolia: contexts and convergence with drug use, *Drug Alcohol Depend*, 132 Suppl 1 (2013) S13-16.
- [106] T. Reza, D.Y. Melesse, L.A. Shafer, M. Salim, A. Altaf, A. Sonia, G.C. Jayaraman, F. Emmanuel, L.H. Thompson, J.F. Blanchard, Patterns and trends in Pakistan's heterogeneous HIV epidemic, *Sexually Transmitted Infections*, 89 (2013) 4-10.
- [107] S. Peitzmeier, K. Mason, N. Ceesay, D. Diouf, F. Drame, J. Loum, S. Baral, A cross-sectional evaluation of the prevalence and associations of HIV among female sex workers in the Gambia, *International Journal of STD & AIDS*, (2013).
- [108] E. Mountain, S. Mishra, P. Vickerman, M. Pickles, C. Gilks, M.C. Boily, Systematic review and meta-analysis of antiretroviral therapy use, attrition, and outcomes among HIV-infected female sex workers, *PLoS ONE*, 9 (2014) e105645.
- [109] E. Mountain, M. Pickles, S. Mishra, P. Vickerman, M. Alary, M.C. Boily, The HIV care cascade and antiretroviral therapy in female sex workers: implications for HIV prevention, *Expert review of anti-infective therapy*, 12 (2014) 1203-1219.
- [110] M. Kato, R. Granich, D. Duc Bui, H.V. Tran, P. Nadol, D. Jacka, K. Sabin, A.B. Suthar, F. Mesquita, Y.R. Lo, B. Williams, The potential impact of expanding antiretroviral therapy and combination prevention in Vietnam: Towards elimination of HIV transmission, *J Acquir Immune Defic Syndr*, 63 (2013) e142-149.
- [111] S. Mishra, E. Mountain, M. Pickles, P. Vickerman, S. Shastri, C.F. Gilks, N. Dhingra, R.W. Washington, M.C. Boily, For The Strategic Epi-Art In India Modeling Team., Exploring

- the population-level impact of antiretroviral treatment: The influence of baseline intervention context., *AIDS*, 28 (2014) S61-72.
- [112] R.D. Kirkcaldy, S. Kidd, H.S. Weinstock, J.R. Papp, G.A. Bolan, Trends in antimicrobial resistance in *Neisseria gonorrhoeae* in the USA: the Gonococcal Isolate Surveillance Project (GISP), January 2006-June 2012, *Sex Transm Infect*, 89 Suppl 4 (2013) iv5-10.
- [113] N. Nagelkerke, P. Jha, S. De Vlas, E. Korenromp, S. Moses, J. Blanchard, F. Plummer, Modelling HIV/AIDS epidemics in Botswana and India: Impact of interventions to prevent transmission, *Bull World Health Org*, 80 (2002) 89 - 96.
- [114] P. Vickerman, C. Watts, S. Delany, M. Alary, H. Rees, L. Heise, The importance of context: Model projections on how microbicide impact could be affected by the underlying epidemiologic and behavioral situation in 2 African settings, *Sex Transm Dis*, 33 (2006) 397-405.
- [115] P. Vickerman, F. Terris-Prestholt, S. Delany, L. Kumaranayake, H. Rees, C. Watts, Are targeted HIV prevention activities cost-effective in high prevalence settings? Results from a sexually transmitted infection treatment project for sex workers in Johannesburg, South Africa, *Sex Transm Dis*, 33 (2006) S122-132.
- [116] L.F. Johnson, L.G. Bekker, R.E. Dorrington, HIV/AIDS vaccination in adolescents would be efficient and practical when vaccine supplies are limited, *Vaccine*, 25 (2007) 7502-7509.
- [117] M.R. Decker, A.L. Wirtz, C. Pretorius, S.G. Sherman, M.D. Sweat, S.D. Baral, C. Beyrer, D.L. Kerrigan, Estimating the impact of reducing violence against female sex workers on HIV epidemics in Kenya and Ukraine: a policy modeling exercise, *Am J Reprod Immunol*, 69 Suppl 1 (2013) 122-132.
- [118] A. Wirtz, C. Pretorius, S. Sherman, S. Baral, M. Decker, M. Sweat, C. Beyrer, D. Kerrigan, Modeling the impacts of a comprehensive community empowerment-based, HIV prevention intervention for female sex workers in generalized and concentrated epidemics: infections averted among sex workers and adults, *J. Int. AIDS Soc.*, 15 (Suppl 3) (2012).
- [119] D. Kerrigan, A. Wirtz, S. Baral, M. Decker, L. Murray, T. Poteat, C. Pretorius, S. Sherman, M. Sweat, I. Semini, N. N'jie, A. Stanciole, J. Butler, S. Osornprasop, R. Oelrichs, C. Beyrer, The global HIV epidemics among sex workers, The World Bank, Washington, D.C., 2013.
- [120] P. Vickerman, C. Watts, R.W. Peeling, D. Mabey, M. Alary, Modelling the cost effectiveness of rapid point of care diagnostic tests for the control of HIV and other sexually transmitted infections among female sex workers, *Sex Transm Infect*, 82 (2006) 403-412.
- [121] S.M. Graham, P.S. Shah, Z. Costa-Von Aesch, J. Beyene, A.M. Bayoumi, A systematic review of the quality of trials evaluating biomedical HIV prevention interventions shows that many lack power, *HIV Clinical Trials*, 10 (2009) 413-431.
- [122] J. Vandepitte, R. Lyerla, G. Dallabetta, F. Crabbe, M. Alary, A. Buve, Estimates of the number of female sex workers in different regions of the world, *Sex Transm Infect*, 82 Suppl 3 (2006) 18-25.
- [123] M. Carael, E. Slaymaker, R. Lyerla, S. Sarkar, Clients of sex workers in different regions of the world: Hard to count, *Sex Transm Infect*, 82 Supplement (2006) iii26-iii33.
- [124] C. Watts, C. Zimmerman, A.M. Foss, M. Hossain, A. Cox, P. Vickerman, Remodelling core group theory: The role of sustaining populations in HIV transmission, *Sex Transm Infect*, 86 Supplement 3 (2010) 85-92.
- [125] E. Fazito, P. Cuchi, M. Mahy, T. Brown, Analysis of duration of risk behaviour for key populations: A literature review, *Sex Transm Infect*, 88 (2012) i24-i32.

- [126] B. Owen, P. Brock, Z. Shubber, A. Butler, M. Pickles, R. Baggaley, M.-C. Boily, Prevalence and frequency of anal intercourse among female sex workers: A systematic review and meta-analysis, In preparation., (2014).
- [127] F. Scorgie, M.F. Chersich, I. Ntaganira, A. Gerbase, F. Lule, Y.R. Lo, Socio-demographic characteristics and behavioral risk factors of female sex workers in sub-saharan africa: A systematic review, *AIDS Behav*, 16 (2012) 920-933.
- [128] M.F. Chersich, S. Luchters, I. Ntaganira, A. Gerbase, Y.R. Lo, F. Scorgie, R. Steen, Priority interventions to reduce HIV transmission in sex work settings in Sub-Saharan Africa and delivery of these services, *J Int AIDS Soc*, 16 (2013).
- [129] S. Gregson, S. Adamson, S. Papaya, J. Mundondo, C.A. Nyamukapa, P.R. Mason, G.P. Garnett, S.K. Chandiwana, G. Foster, R.M. Anderson, Impact and process evaluation of integrated community and clinic-based HIV-1 control: A cluster-randomised trial in eastern Zimbabwe, *PLoS Med*, 4 (2007) e102.