We investigated whether vaginal microbiota modulated tenofovir gel microbicide efficacy in the CAPRISA (Centre for the AIDS Program of Research in South Africa) 004 trial. Two major vaginal bacterial community types—one dominated by *Lactobacillus* (59.2%) and the other where *Gardnerella vaginalis* predominated with other anaerobic bacteria (40.8%)—were identified in 688 women profiled. Tenofovir reduced HIV incidence by 61% (P = 0.013) in *Lactobacillus*-dominant women but only 18% (P = 0.644) in women with non-*Lactobacillus* bacteria, a threefold difference in efficacy. Detectable mucosal tenofovir was lower in non-*Lactobacillus* women, negatively correlating with *G. vaginalis* and other anaerobic bacteria, which depleted tenofovir by metabolism more rapidly than target cells convert to pharmacologically active drug. This study provides evidence linking vaginal bacteria to microbiode efficacy through tenofovir depletion via bacterial metabolism.

More than 1 million women are infected with HIV annually, and the majority of these new infections occur in young women in sub-Saharan Africa, with South Africa having among the highest incidence rates (1, 2). Antiretroviral-based clinical trials in men who have sex with men have consistently demonstrated effectiveness in preventing HIV infection (3–5); however, studies in women have produced widely varying results. In clinical trials of women, the efficacy of antiretroviral drugs to prevent HIV infection ranged from 34% to 49% [VOICE (Vaginal and Oral Interventions to Control the Epidemic) study] to 75% [TDF2 (Tenofovir Disoproxil Fumarate Two) study] for daily oral tenofovir or tenofovir-emtricitabine and from 0% [FACTS (Follow-on African Consortium for Tenofovir Studies) 001] to 39% [CAPRISA (Centre for the AIDS Program of Research in South Africa) 004] for daily or cotidal vaginally-applied tenofovir gel (fig. S1). Variability in the levels of adherence (6) has been shown to be a major contributing factor for the diverse trial outcomes in women. However, little is known about what biological factors may also contribute to the variability in these results and why higher adherence is required for antiretroviral-based prevention efficacy in women (7).

The vaginal compartment contains many microbial species critical for the health of the vaginal mucosa, and dysbiosis of vaginal bacteria, clinically known as bacterial vaginosis (BV), can result in negative reproductive health outcomes (8, 9). The recent advent of advanced molecular tools has redefined our understanding of vaginal bacterial communities (10, 11), where the most frequently observed community state types (CSTs) have been described (12–14). Although substantial heterogeneity exists, a key commonality is that CSTs fall into two clear groups: (i) *Lactobacillus*-dominant, where one or more species of *Lactobacillus* make up >90% of the total copy number or sequencing reads (L. iners, L. crispatus, L. jensenii, and L. gasseri), and (ii) non-*Lactobacillus*-dominant, with *Lactobacillus* making up <30% of the total copy number or sequencing reads. The non-*Lactobacillus*-dominant group typically contains a high abundance of *Gardnerella vaginalis* alone or codominant with other facultative and obligate anaerobic bacteria, including *Prevotella* spp., *Mobiluncus* spp., and several *Closstridium* species. BV occurs after a shift from *Lactobacillus* dominance to these more diverse communities (11, 12), is frequently asymptomatic, and can often go undetected using traditional Amsel's criteria (15) and/or the Nugent's score used to diagnose BV (16). Bacterial vaginosis is associated with poor reproductive health outcomes and increased HIV infection risk in women (17), by as much as 60% in some meta-analyses of women with BV (18). BV likely increases HIV risk through multiple mechanisms, including increased inflammation and target cells, as well as vaginal epithelial barrier disruption and wound-healing impairment; however, the mechanisms are not entirely understood (19, 20). Given that women from sub-Saharan Africa have high prevalence rates of BV (21) and that HIV prevention strategies are being targeted for women in these areas, we investigated whether vaginal microbial communities may affect the efficacy of antiretroviral-based prevention technologies, especially those that are topically applied to the vaginal surface.

Characterization of the vaginal microbiome using unbiased metagenomic, metatranscriptomic, and metaproteomic approaches represents a potential paradigm shift in understanding host-microbial interactions at the mucosal surface in vivo. We recently used metatranscriptomics to gain insight into host-bacterial interactions in vaginal microbial dysbiosis (20). This method simultaneously collects unbiased information on microbial and host proteomes, thus providing systems-level information on microbial communities and mucosal surfaces not available with other techniques. In this work, we used a metaproteomic approach to assess whether vaginal bacteria modulate the efficacy of the topical microbicide tenofovir in preventing HIV infection, and we also used in vitro systems to determine mechanisms of microbiome influence on tenofovir.

**Vaginal microbial diversity in women using tenofovir or placebo microbicide gels**

Samples from 888 HIV-negative women that were assigned to either the tenofovir or the placebo-gel arm were analyzed by protein mass spectrometry, as outlined in the materials and methods (fig. S2). Proteomic analysis identified 3334 distinct bacterial proteins from 188 different species in the cervicovaginal lavage (CVL) samples of 688 women. Two major vaginal bacterial community groups were identified: one in which *Lactobacillus* was the predominant genus (group I) (n = 423 women, 61.2%) and the other dominated by non-*Lactobacillus* microbiota (group II) (n = 265 women, 38.8%) (Fig. 1). Approximately 11% of individuals had no single dominant species (defined as >50% community composition), and the majority of these individuals fell into group II.

In comparing the mass spectrometry proteomic approach with 16S ribosomal RNA (rRNA) sequencing, we found concordance with respect to classifying women into groups I and II (91.5% agreement) and measurements of bacterial abundance, including major taxa *Lactobacillus*, *G. vaginalis*, *Prevotella*, and others (P < 0.001) (fig. S8). A limitation of this study was that clinical BV data was not collected during the CAPRISA 004 trial.
thus precluding comparisons to Nugent score or other BV criteria.

Group I showed the lowest diversity [Shannon diversity index (H-index) median: 0.05], with the majority (70.5%) having *L. iners* as the dominant species, followed by *L. crispatus* (15.1%) and other lactobacilli, such as *L. jensenii* and *L. gasseri* (3.0%). In Fig. 1B, the first subgroup (a) was homogeneous, with clear dominance of *Lactobacillus* (54.7%) with very low diversity (H-index median: 0.035), whereas a minority of these individuals (subgroup b, 6.8%) showed an intermediate amount of diversity (H-index median: 0.87) where small amounts of *G. vaginalis*, *Prevotella*, and *Mobiluncus* were detected. A subanalysis of group Ia at the *Lactobacillus* species level clearly illustrates *L. iners* as predominant (fig. S4).

Women in group II had higher overall bacterial diversity (H-index: 0.78) with several distinct subgroups. The largest subgroup (c) was dominated by *G. vaginalis* (*n* = 163, 23.7%, H-index: 0.66) and contained multiple taxa, including *Prevotella* and minor amounts of *Lactobacillus* and *Mobiluncus* (Fig. 1B). The second-largest subgroup (d) was the most diverse and had no one clearly dominant taxa (*n* = 78, 11.3%, H-index: 1.15); *G. vaginalis*, *Prevotella*, and *Mobiluncus* were predominant. Finally, the smallest subgroup (e) was low in diversity, containing either *Pseudomonas* or *Escherichia* (*n* = 24, 3.5%, H-index: 0.14) (Fig. 1B). Grouping subgroups a and b, which showed relative homogeneity in a single group (group I), with the remaining three subgroups (c, d, and e), which had more variability and diversity into a single group (group II), was supported by principal components analysis (Fig. 1C). Overall, the majority (96.2%, *n* = 407) of women in group I had >50% *Lactobacillus* by abundance.

For downstream comparisons of topical tenofovir efficacy, we chose a *Lactobacillus*-dominant (>50%) (LD) or non-*Lactobacillus*-dominant (≤50%) (non-LD) classification, as >50% *Lactobacillus* at
the proteome level corresponds with a practical clinical marker of vaginal pH below 4.5 [inter-quartile range pH value of 4.0 to 4.5 (20)] and accurately identified 96.2% of group I individuals. The use of LD and non-LD definitions did not appreciably change any outcomes reported on the basis of group I versus group II comparisons.

Both LD and non-LD women had similar baseline clinical, behavioral, and demographic characteristics, as well as sexual behavior and gel adherence during the trial (table S1). The presence of a sexually transmitted infection (STI)—which included Chlamydia trachomatis, Trichomonas vaginalis, Neisseria gonorrhoea, Mycoplasma genitalium, Treponema pallidum, and herpes simplex virus 2 (HSV-2)—was comparable between groups. Although not statistically significant, women within the non-LD group were marginally younger (mean age 23.6 versus 24.1 years, \( P = 0.092 \)). Within each of the groups of LD and non-LD women, the number of individuals assigned to tenofovir gel and placebo gel was similar (table S2).

**Vaginal microbial profiles and tenofovir gel efficacy**

In the LD group, the HIV incidence rate was 61% lower [95% confidence interval (CI): 0.16 to 0.89] in women assigned to tenofovir gel compared with those assigned to the placebo gel (2.7 versus 6.9 per 100 women-years; where 1 woman-year is defined as 1 year of study observation of one woman); incidence rate ratio (IRR) = 0.39; \( P = 0.013 \) (Fig. 2A). In contrast, in non-LD women, the HIV incidence rate was only 18% lower (95% CI: 0.37 to 1.77) in those assigned to tenofovir gel compared with those assigned to placebo gel (6.4 versus 7.8 per 100 women-years; IRR = 0.82; \( P = 0.644 \)) (Fig. 2B). Adjusting for STIs (including HSV-2 infection), antibiotic usage, depot medroxyprogesterone acetate (DMPA) usage, and sexual behaviors (frequency of sex, number of partners, and condom usage) did not affect these findings (table S3).

Lactobacillus, particularly *L. crispatus*, has been associated with reduced HIV infection. Although the HIV incidence rate of 4.8 per 100 women-years in LD women was 32% lower (95% CI: 0.4 to 1.2) than the 7.1 per 100 women-years in non-LD women, this was not statistically significant (\( P = 0.127 \)) (fig. S5). Comparing the subgroup of all 63 *L. crispatus*–dominant (>50% abundance) to non-LD women yielded a 57% lower HIV incidence (95% CI: 0.13 to 1.41) that was not statistically significant (3.1 versus 7.1 per 100 women-years; \( P = 0.167 \)) (fig. S6). Comparison of *L. crispatus*–dominant women to all others as a single group (*L. iners*–dominant and non-LD women) produced similar findings (50% lower HIV incidence; 3.1 versus 6.0 per 100 women-years; 95% CI: 0.16 to 1.60, \( P = 0.237 \)). Similarly, within the placebo group, the 31 *L. crispatus*–dominant women compared to non-LD women showed a 48% lower HIV incidence (95% CI: 0.12 to 1.27) that was not statistically significant (4.17 versus 7.8 per 100 women-years; \( P = 0.385 \)) (fig. S7). This is likely attributed to insufficient power due to the low numbers of women with *L. crispatus* dominance. However, because the proportion of women with *L. crispatus* dominance was similar in women assigned to tenofovir gel and placebo gel (51% versus 49%), the HIV incidence differences between these two groups of women are not due to *L. crispatus*. In addition, considering just *L. iners*–dominant women, the efficacy of tenofovir was maintained, where the HIV incidence rate was 67% lower (95% CI: 0.13 to 0.83) in those assigned to tenofovir gel compared with those assigned to placebo gel (2.5 versus 7.7 per 100 women-years in the tenofovir and placebo arms, respectively; \( P = 0.0118 \)) (fig. S8).

**Microbicide gel adherence and tenofovir efficacy in LD and non-LD women**

Gel adherence, as assessed by monthly empty applicator returns (22), was similar in both groups: 60.0% [244 of 407 (244/407)] of LD women compared to 61.4% (172/280) of non-LD women had >50% gel adherence (where >50% of sex acts were covered by two applications of the gel, as recommended in the trial) (Table 1). Stratifying LD and non-LD women separately on adherence demonstrates that gel adherence >50% was associated with higher efficacy in preventing HIV in LD women than in non-LD women (Table 1). The efficacy of tenofovir gel in preventing HIV infection in the subgroup of women with >50% adherence was 78% (95% CI: 29%, 95%; \( P = 0.003 \)) in the LD group but only 26% (95% CI: –98%, 75%; \( P = 0.558 \)) in the non-LD group.

**Vaginal tenofovir concentrations are lower in non-LD versus LD women**

Tenofovir concentrations (\( n = 270 \)) were assessed in a random sample of CVLs from HIV-negative women and from the first postinfection visit CVL from HIV seroconverters. Although gel adherence was not different between the LD and non-LD groups and time since the last gel application was similar (\( P = 0.558 \) (table S1)), tenofovir was only detectable in CVL samples in 29.8% (34/114) of non-LD women compared with 46.2% (72/156) of LD women (\( P = 0.008 \)). Genital tenofovir concentrations were also significantly lower in non-LD (upper quartile = 24.3 ng/ml) compared with LD women (upper quartile = 8020 ng/ml).
Metabolism by bacteria and tenofovir levels, suggesting a relationship between BV-associated microbes and tenofovir metabolism. We found that tenofovir concentrations in the culture medium of G. vaginalis cultures decreased rapidly by 50.6% compared with marginal changes in control cultures (Fig. 3A). A subanalysis showed that genital tenofovir concentrations negatively correlated with G. vaginalis protein abundance (correlation coefficient $r = -0.19$, $P = 0.0014$) and other anaerobic bacteria (Prevotella, $r = -0.14$, $P = 0.023$), suggesting a relationship between BV-associated bacteria and tenofovir levels.

Metabolism by G. vaginalis and BV-associated bacteria leads to tenofovir depletion

Given the decreased levels of mucosal tenofovir in non-LD- dominant women with G. vaginalis and other BV-associated bacteria versus LD women, we aimed to determine whether interactions between microbes and tenofovir may underlie altered drug levels. We used an in vitro culture system to assess potential biodegradation of tenofovir by the major bacterial species present in this cohort. We found that tenofovir concentrations in culture with G. vaginalis decreased rapidly by 50.6% compared with marginal changes in either L. iners ($P = 0.0037$), L. crispatus ($P = 0.0019$), or abiotic (same NYCCII media without bacteria) control ($P < 0.0001$) at 4 hours (Fig. 3A). The differential decline continued; by 24 hours, tenofovir concentrations in the culture medium had dropped 67.4% with G. vaginalis but only 14.0% with L. iners ($P < 0.0001$) and 9.4% with L. crispatus ($P < 0.0001$) (Fig. 3A). Of interest, G. vaginalis used here was a subtype C strain (ATCC type strain 14018), but repeating these methods with G. vaginalis with Kenyan clinical isolates from three different subtypes demonstrated that all subtypes of G. vaginalis metabolized tenofovir ($P < 0.0005$) (Fig. 3B). Concomitantly to tenofovir loss, intracellular tenofovir concentrations rose sharply in G. vaginalis but not in L. iners or L. crispatus cultures ($P < 0.0001$) (Fig. 3B). Predicted metabolites at mass/charge ratios (m/z) of 136.06, 206.10, 159.07, and 59.05 showed a sharp increase at 136.06 m/z in G. vaginalis cultures, indicating adenine production via cleavage of oxy-methylphosphonic acid (Fig. 3C), the side-chain component of tenofovir ($P < 0.0001$ compared with L. crispatus, L. iners, and abiotic). Residual tenofovir plus the intracellular metabolite adenine made up >80% of recovered products, indicating that adenine is the major metabolite of tenofovir metabolism by G. vaginalis (Fig. 3D). Finally, to determine whether other major bacterial species in non-LD women were capable of metabolizing tenofovir, we tested the ability of P. amnii, P. bivia, Mobiluncus mulieris, and Escherichia coli to deplete tenofovir. We found that both Prevotella species and M. mulieris significantly depleted tenofovir compared with abiotic (Wilkins-Chalgren media) controls ($P = 0.0007$ for all at 24 hours), and E. coli tended toward depletion ($P = 0.100$ as compared to abiotic tryptic soy media), though not to the same extent or as rapidly as G. vaginalis (Fig. 3E).

Metabolism by vaginal bacteria affects uptake and conversion of tenofovir to active drug in target cells

We next assessed whether tenofovir metabolism by bacteria affects the kinetics or ability of target cells to uptake tenofovir and convert to pharmacologically active tenofovir diphosphate. We performed cocultures of Jurkat cells (HIV targets) with G. vaginalis, L. iners, L. crispatus, or abiotic controls in Wilkins-Chalgren (WC) media or tryptic soy (TS) broth (for E. coli). Data show average ± SEM of six replicate experiments for P. amnii, P. bivia, and M. mulieris and four replicates for E. coli, compared with five replicates abiotic controls. $P$ values are calculated by Mann-Whitney U test. ns, not significant.

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Klatt et al., Science 356, 938–945 (2017) 2 June 2017
Lactobacillus iners to tenofovir + Jurkat alone, tenofovir + Jurkat + phosphate by metabolizing tenofovir before drug decreasing pharmacologically active tenofovir di-

A total drug recovery measurements (tenofovir + tenofovir diphosphate + adine) demonstrated that all components of tenofovir were fully recovered (Fig. 4D). These data demonstrate that G. vaginalis is capable of decreasing pharmacologically active tenofovir di-

implications for antiretroviral-based HIV prevention

The efficacy of tenofovir-containing topical micro-

mediums affecting microbicidal efficacy. The relative contributions of drug depletion and these potential host modulatory effects on microbicide efficacy would be an important avenue of future investigation.

Some limitations need to be taken into account when interpreting these data. The lack of clinical BV measurements, such as Nugent score or Amsel's criteria, precludes our ability to associate these findings with clinical BV criteria, an important factor in vaginal health. Instead, these data are limited to associations with metaproteo-

Table 1. Effect of adherence on the HIV prevention efficacy of 1% tenofovir gel in women participating in the CAPRISA 004 trial, stratified by Lactobacillus dominance in the female genital tract. One woman did not produce adherence data. n, number of women.

<table>
<thead>
<tr>
<th>Gel adherence</th>
<th>No. of HIV infections/women-years</th>
<th>HIV incidence (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Tenofovir Placebo</td>
<td>n</td>
</tr>
<tr>
<td>Lactobacillus-dominant</td>
<td>9/331</td>
<td>22/318</td>
</tr>
<tr>
<td>Non-Lactobacillus-dominant</td>
<td>14/219</td>
<td>17/218</td>
</tr>
<tr>
<td>Greater than 50% adherence</td>
<td>13/349</td>
<td>26/304</td>
</tr>
<tr>
<td>Less than 50% adherence</td>
<td>10/200</td>
<td>13/232</td>
</tr>
<tr>
<td>Lactobacillus-dominant</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Greater than 50% adherence</td>
<td>4/209</td>
<td>15/176</td>
</tr>
<tr>
<td>Less than 50% adherence</td>
<td>5/122</td>
<td>7/142</td>
</tr>
<tr>
<td>Non-Lactobacillus-dominant</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Greater than 50% adherence</td>
<td>9/141</td>
<td>11/128</td>
</tr>
<tr>
<td>Less than 50% adherence</td>
<td>5/78</td>
<td>6/90</td>
</tr>
</tbody>
</table>

critical component is whether G. vaginalis can metabolize tenofovir more rapidly than target cells can convert tenofovir to pharmacologically active tenofovir diphosphate. To assess this, we measured tenofovir diphosphate in cell pellets and found that although tenofovir diphosphate was made at equal levels in Jurkat cells alone and the presence of Lactobacillus spp., it was significantly decreased in the presence of G. vaginalis (P = 0.0002, P = 0.0022, and P = 0.0238 relative to tenofovir + Jurkat alone, tenofovir + Jurkat + L. iners, or tenofovir + Jurkat + L. crispatus, respectively) (Fig. 4C). Total drug recovery measurements (tenofovir + tenofovir diphosphate + adine) demonstrated that all components of tenofovir were fully recovered (Fig. 4D). These data demonstrate that G. vaginalis is capable of decreasing pharmacologically active tenofovir di-

Lactobacillus iners metabolism of tenofovir before drug uptake by target cells.

Implications for antiretroviral-based HIV prevention

The efficacy of tenofovir-containing topical micro-

bicide to prevent HIV infection varied more than threefold depending on vaginal bacterial profiles; tenofovir gel reduced HIV incidence by 61% in LD women but only by 18% in non-LD women. These efficacy differences between LD and non-LD women were consistently present in the most gel-adherent women (78% versus 26%), as well as in the least adherent women (17% versus 4%).

In vitro studies demonstrated that metabolism of tenofovir occurred by G. vaginalis, P. biviaea, P. amnii, and M. mulieris, and slightly by E. coli, but not by L. iners or L. crispatus, indicating a putative mechanism for the observed differences in topical microbicidal efficacy. The modifying effect of Lactobacillus dominance on tenofovir gel efficacy underscores the importance of both high adherence and LD vaginal bacterial communities for women to benefit maximally from topical microbicides for HIV prevention.

In searching for an underlying cause for the discordance between applicator adherence and detectable vaginal tenofovir concentrations between the LD and non-LD groups, we found that non-Lactobacillus-dominant bacteria associated with BV rapidly metabolized tenofovir, thereby likely reducing extracellular drug availability. The rapid loss of tenofovir by G. vaginalis and other bacteria may also affect gel adherence estimates based on vaginal drug levels in non-LD women, particularly considering that Gardnerella metabolizes tenofovir more rapidly than target cells uptake and convert the drug to active form. Thus, although adherence is important for efficacy (23, 24), the lack of Lactobacillus dominance may also be a contributing factor. These data indicate that women with BV may have to be more rigorous in their adherence to tenofovir gel administration to be protected against HIV infection, due to the rapid metabolism of tenofovir by dysbiotic bacteria. Because of the potential interplay between vaginal bacteria and adherence in topical microbicidal efficacy, a useful next step could be to evaluate the proportion of non-LD women in other topical microbicide trials of tenofovir conducted in Africa such as VOICE and FACTS (23, 24). These findings may have broader implications for other topical antiretroviral delivery strategies, such as vaginal rings, for tenofovir-based HIV prevention.

The prevalence of Lactobacillus dominance in women in CAPRISA 004 (39%) was comparable to that observed in self-described black women from a North American study (58%) (22) but considerably lower than that reported in Caucasian women (90%). However, the extent of Lactobacillus dominance may differ even within the same country or region. The Lactobacillus species and overall CSTs in our study participants were similar to those described in a previous South African study (33, 25) but somewhat different from those reported recently by the FRESH (Females Rising through Education, Support, and Health) cohort in KwaZulu-Natal in South Africa (13). The reasons for these differences in the prevalence of Lactobacillus dominance are not known. Some notable differences were that the CAPRISA 004 women were slightly older (24 versus 21 years) and had higher hormonal contraceptive usage (97% versus 54% on DMPA, norethisterone enan-

Although Lactobacillus has been associated with lower HIV incidence in previous observational studies, we did not find a strong relationship between LD status and HIV protection in the placebo arm. This may be due to the predominance of L. iners in the women in our study, rather than L. crispatus, which has been associated with lower HIV incidence (19, 26) (whereas L. iners has been associated with increased HIV risk (29)), or simply being underpowered with few L. crispatus–dominant women. Nevertheless, we did observe a nonsignificant trend of reduced HIV incidence with L. crispatus–dominant women compared with women who had non–Lactobacillus-dominant profiles, regardless of tenofovir or placebo gel assignment.

The ecological diversity in the vaginal micro-

biome has been previously linked to changes in mucosal immunity in the female genital tract, including elevated cytokine levels, increased HIV target cells (CD4+CCR5+ T cells), as well as epithelial barrier disruption (20, 30, 31). Thus, the presence of BV-associated inflammatory bacteria could have influences at the vaginal mucosa that may add collectively to a multifactorial mechanism affecting microbicidal efficacy. The relative contributions of drug depletion and these potential host modulatory effects on microbicidal efficacy would be an important avenue of future investigation.

Some limitations need to be taken into account when interpreting these data. The lack of clinical BV measurements, such as Nugent score or Amsel's criteria, precludes our ability to associate these findings with clinical BV criteria, an important factor in vaginal health. Instead, these data are limited to associations with metaproteo-

metagenomic characterization of BV. Another caveat of this study is the assumption...
that a single random sample represents the vaginal microbiome for the duration of the trial. Previous longitudinal evaluations of women demonstrated that some bacterial communities shift substantially in short periods of time, whereas others, including those dominated by L. iners, demonstrated considerably more stability, where outgrowth of anaerobic bacteria was less frequent (~10%) over time (32). In a sensitivity analysis to address this question, unrealistically high levels of misclassification would be required to double the HIV incidence rate observed in this study. In randomly selected LD women (n = 21), 76% maintained LD status at previous visits (median days = 301), and a 24% misclassification had a minimal effect on the overall incidence rate. Further, as neither the trial participants nor investigators were aware of LD status at randomization, overall random allocation in the CAPRISA 004 trial is expected to lead to comparable groups assigned to study arms of the LD and non-LD strata.

Although it is a limitation of the data that the metabolism of tenofovir was only demonstrated in vitro here, the decreased tenofovir levels in women with vaginal dysbiosis support this as a potential in vivo mechanism. Further, the in vitro studies were performed with a range from 1 to 10 mg/ml, representing predicted in vivo tenofovir concentrations after gel use (33), and we observed similar kinetics of tenofovir metabolism despite tenofovir concentration. Because multiple BV-associated bacteria could biodegrade tenofovir in vitro, future studies assessing the effects of mixed bacteria cultures on tenofovir metabolism would be an important next step. Though we have not yet assessed whether *Pseudomonas* species metabolize tenofovir, they (group IIe) represent a small fraction of women (3.5%), and removal of this group in LD versus non-LD comparisons did not affect the reported outcomes. Other BV-associated bacteria not evaluated in this study may also metabolize tenofovir and would be important to explore. We demonstrated that clinical isolates of *G. vaginalis* are also capable of degrading tenofovir, albeit at reduced rates as compared with type strains, possibly due to decreased growth rates in the clinical isolates. In addition, this mechanism is supported by several studies showing in vivo metabolism of drugs by microbiota, just never in the context of HIV infection and antiretroviral metabolism (34). Furthermore, the kinetics of bacterial metabolism of tenofovir relative to host cellular uptake and conversion of tenofovir to the pharmacologically active phosphorylated form (tenofovir diphosphate) demonstrated that *G. vaginalis* can actually metabolize tenofovir more rapidly that target cells can convert it to tenofovir diphosphate. Previous studies in CAPRISA 004 demonstrated that low levels of tenofovir diphosphate were associated with infection (35), and a recent study by Hillier et al. demonstrated that, in the FAME study, both genital and plasma levels of tenofovir diphosphate were negatively correlated with *G. vaginalis* levels in the vagina, as well as markers of BV, demonstrating the clinical relevance of our results (36).

This study used mass spectrometry–based proteomics, an emerging technology for the study of mucosal systems, to characterize vaginal bacteria. Both mass spectrometry and standard 16S rRNA sequencing had high concurrence in the classification of *Lactobacillus*–dominant profiles. There were some differences in the proportion of detected taxa. Particularly, in mass spectrometry analysis, the predominant genera—such as *Lactobacillus*, *Gardnerella*, and *Prevotella*—more frequently dominate the bacterial proteome load as compared with 16S results, similar to previous observations (20). This could be due to sensitivity differences as the proteome spans a larger dynamic range, posttranscriptional regulatory mechanisms that modulate protein translation from
genes, a reflection of greater diversity of metabolic states, or divergence of functionality between the same taxa in different individuals or CSTs. Future studies of the bacterial metaproteome may provide further insights into in vivo microbial systems.

We assessed whether LD and non-LD women differed with respect to clinical symptoms associated with STIs and found that reported vaginal symptoms did not differ between LD and non-LD women. This finding is concordant with other descriptions suggesting that the presence of *G. vaginalis* is often asymptomatic (11, 12), making it unlikely that *G. vaginalis* led to behavioral changes affecting gel use. Whereas previous results showed that tenofovir is protective against HSV-2 (37), our subanalysis did not find an effect of *Lactobacillus* dominance on efficacy of tenofovir against HSV-2 acquisition. However, given the many differences between HIV and HSV-2, including the target cells in the genital tract and the unknown levels required for protection in vivo, it is challenging to compare these two infections in this context. Future studies aimed at assessing tenofovir in decreased HSV-2 infection relative to vaginal microbiota will be very important.

Because *Lactobacillus* dominance corresponds with a relatively low vaginal pH, typically below 4.5 (12), vaginal pH testing may be a pragmatic approach to identify women most likely to benefit from topical tenofovir-containing microbicides and potentially other prevention strategies. However, current treatment strategies for BV may not be sufficiently efficacious (38), and better strategies that can both deplete anaerobic bacteria and support recolonization with *Lactobacillus* may be required. If validated in other trials, this could be a compelling reason for integrating topical microbicide implementation with sexual and reproductive health services so that vaginal health becomes an integral component of HIV prevention approaches.

Conclusions

Vaginal microbiota modulated the efficacy of the topical tenofovir microbicide in CAPRISA 004. The rapid depletion of tenofovir by *G. vaginalis* and other BV-associated anaerobic bacteria by metabolism provides a biological mechanism likely contributing to a multifactorial process, including increased vaginal inflammation and adherence, leading to varying levels of HIV prevention efficacy observed across topical microbicide trials. This suggests that women with non-*Lactobacillus*-dominant vaginal bacteria may be more sensitive to the timing of gel application and adherence, whereas bacterial communities with *Lactobacillus* dominance may facilitate an environment more conducive to topical microbicide efficacy. Thus, vaginal pH and/or microbiota screening are interventions that can potentially be added to topical microbicide initiation to guide and enhance prevention of HIV in women. These findings provide evidence about the importance of vaginal microbial communities on prevention efficacy, which could help improve this HIV-specific prevention strategy for women.

REFERENCES AND NOTES

Vaginal bacteria modify HIV tenofovir microbicide efficacy in African women


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Vaginal microbiome influences HIV acquisition

Tenofovir is a preexposure drug used to prevent HIV infection. In clinical trials, tenofovir was effective for men, but not women. Klatt et al. now show that tenofovir efficacy in women depends on the composition of the vaginal microbiome (see the Perspective by Tuddenham and Ghanem). In a clinical trial of 688 women, tenofovir was three times as effective among those with a Lactobacillus-dominant vaginal microbiome as it was among other women. Gardnerella vaginalis tended to predominate in the women for whom tenofovir was less effective, and the authors found that the organism could rapidly metabolize and thereby inactivate the drug.

Science, this issue p. 938; see also p. 907